

Non-Markovian dynamics of an open quantum system in fermionic environments

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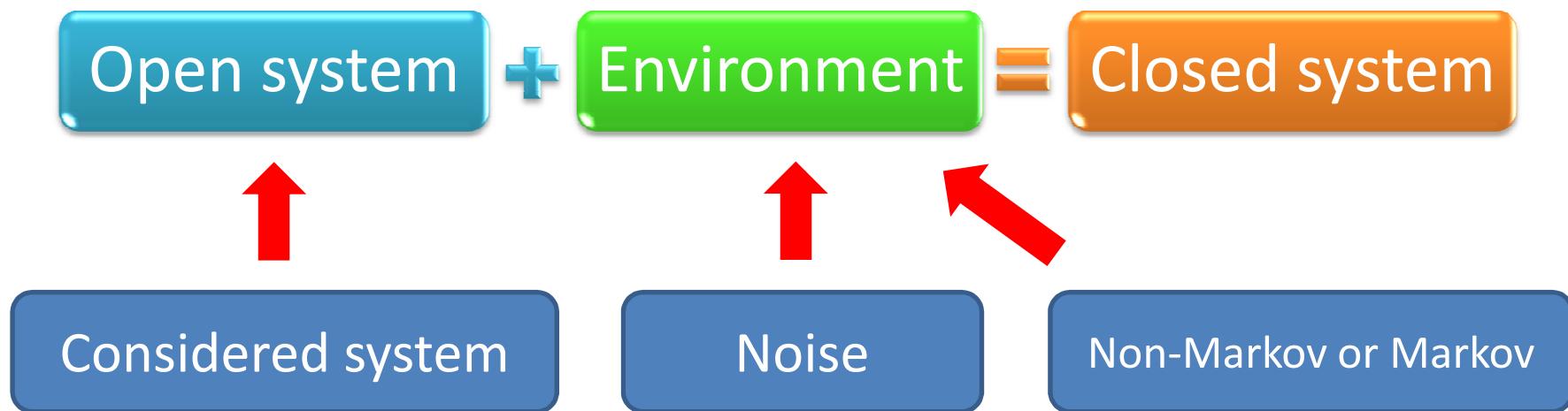
Outline

- Open quantum systems and the master equation formalism: Non-Markov vs. Markov
- Non-Markovian quantum state diffusion (QSD) in a bosonic bath: Some exactly solvable models
- Non-Markovian QSD in fermionic environments
- Summary and outlook

What is an open quantum system? How to describe its dynamics?



System plus environment framework



Non-Markov is the rule, Markov is the exception (N. G. van Kampen)

Reduced density operator: $\rho_{\text{sys}}(t) = \text{Tr}_{\text{env}} \{ \rho_{\text{tot}}(t) \}$



Non-Markov vs. Markov

- Non-Markovian dynamics (memory environment):
The time evolution of the system's state depends on its history.

$$\frac{\partial \rho(t)}{\partial t} = -\frac{i}{\hbar} [H_{\text{sys}}, \rho(t)] + \int_0^t \kappa(t, s) \rho(s) ds$$

- Markov approximation (memoryless environment):
Replace $\rho(s)$ by $\rho(t)$ \Rightarrow Lindblad form:

$$\frac{\partial \rho_t}{\partial t} = -\frac{i}{\hbar} [H_{\text{sys}}, \rho_t] + \frac{\Gamma}{2} ([L, \rho_t L^\dagger] + [L \rho_t, L^\dagger])$$

The reservoir is assumed to be of a **broadband** spectrum, so as to have a correlation time (memory time) **much shorter** than the dynamical (evolution) time of the considered system.

Master equation under Born-Markov approximation



Born approximation (2nd-order approximation): **Weak interaction**

Typical assumption: $\rho_{tot}(0) = \rho_{sys}(0) \otimes \rho_{env}(0)$

$$\frac{\partial \rho(t)}{\partial t} = -\frac{i}{\hbar} [H_{sys}, \rho(t)] - \frac{1}{\hbar^2} \int_0^t dt' \langle [H_{int}(t), [H_{int}(t'), \rho_{int}(t')]] \rangle_{env}$$

One can use it to derive a non-Markovian master equation, but this method is perturbative (i.e., it applies to a weak system-bath coupling).

Markov approximation: Replace $\rho_{int}(t')$ by $\rho_{int}(t)$

$$\frac{\partial \rho(t)}{\partial t} = -\frac{i}{\hbar} [H_{sys}, \rho(t)] - \frac{1}{\hbar^2} \int_0^t dt' \langle [H_{int}(t), [H_{int}(t'), \rho_{int}(t)]] \rangle_{env}$$

H. Carmichael, *An open systems approach to quantum optics* (Springer 1993)

Two non-perturbative methods for non-Markovian dynamics



- Feynman-Vernon influence functional approach

R. P. Feynman and F. L. Vernon, *Ann. Phys. (N.Y.)* 24, 118 (1963)

Non-Markovian master equation for quantum Brown motion model :

B. L. Hu, J. P. Paz, and Y. Zhang, *Phys. Rev. D* 45, 2843 (1992)

Non-Markovian master equation of a double-quantum-dot system:

M. W. Y. Tu and W. M. Zhang, *Phys. Rev. B* 78, 235311 (2008).

- Non-markovian quantum trajectories

Non-Markovian quantum state diffusion (NMQSD)

L. Diosi, N. Gisin, and W. T. Strunz, *Phys. Rev. A* 58, 1699 (1998); W. T. Strunz, L. Diosi, and N. Gisin, *Phys. Rev. Lett.* 82, 1801 (1999); T. Yu, L. Diosi, N. Gisin, and W. T. Strunz, *Phys. Rev. A* 60, 91 (1999).

Quantum three-level system:

J. Jing and T. Yu, *Phys. Rev. Lett.* 105, 240403 (2010)

Extension to the fermionic-bath case:

Mi Chen and J.Q. You, [arXiv:1203.2217](https://arxiv.org/abs/1203.2217); Wufu Shi, Xinyu Zhao and Ting Yu, [arXiv:1203.2219](https://arxiv.org/abs/1203.2219).



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Some exactly solvable models

Total Hamiltonian: $H_{tot} = H_{sys} + \sum_k (g_k^* L b_k^\dagger + g_k L^\dagger b_k) + \sum_k \omega_k b_k^\dagger b_k$

Stochastic Schrödinger equation (Diosi-Gisin-Strunz equation)

$$\frac{\partial}{\partial t} |\psi_t(z^*)\rangle = [-iH_{sys} + L z_t^* + L^\dagger \bar{O}(t, z^*)] |\psi_t(z^*)\rangle$$

$$\bar{O}(t, z^*) = \int_0^t \alpha(t-s) O(t, s, z^*) ds$$

$$\rho_t \equiv M \{ |\psi_t(z^*)\rangle \langle \psi_t(z)| \}$$

$$\alpha(t-s) = \int_0^\infty J(\omega) e^{-i\omega(t-s)} d\omega$$

- Spin-boson pure dephasing model

$$H_{sys} = \frac{\omega}{2} \sigma_z \quad L = \sigma_z \quad \rightarrow \quad O(t, s, z^*) = L$$

- Spin-boson dissipative model

$$H_{sys} = \frac{\omega}{2} \sigma_z \quad L = \sigma_- \quad \rightarrow \quad O(t, s, z^*) = f(t, s) \sigma_-$$

$$\frac{\partial}{\partial t} f(t, s) = [i\omega + \int_0^t \alpha(t-s') f(t, s') ds'] f(t, s) \quad f(t, t) = 1$$



Some exactly solvable models

- Damped harmonic oscillator model

$$H_{sys} = \omega a^\dagger a \quad L = a \quad \rightarrow \quad O(t, s, z^*) = f(t, s)a$$

$$\frac{\partial}{\partial t} f(t, s) = [i\omega + \int_0^t \alpha(t-s')f(t, s')ds']f(t, s) \quad f(t, t) = 1$$

- Quantum Brown motion model

$$H_{sys} = \frac{p^2}{2m} + \frac{1}{2} m\omega^2 q^2 \quad L = q$$

$$\rightarrow O(t, s, z^*) = f(t, s)q + g(t, s)p - i \int_0^t j(t, s, s')z_{s'} ds'$$

Exact non-Markovian master equation

$$\frac{\partial}{\partial t} \rho_t = -i[H_{sys}, \rho_t] + \frac{a(t)}{2i}[q^2, \rho_t] + \frac{b(t)}{2i}[q, \{p, \rho_t\}] + c(t)[q, [p, \rho_t]] - d(t)[q, [q, \rho_t]]$$

B. L. Hu et. al., *Phys. Rev. D* 45, 2843 (1992);

W. T. Strunz and T. Yu, *Phys. Rev. A* 69, 052115 (2004).



Outline

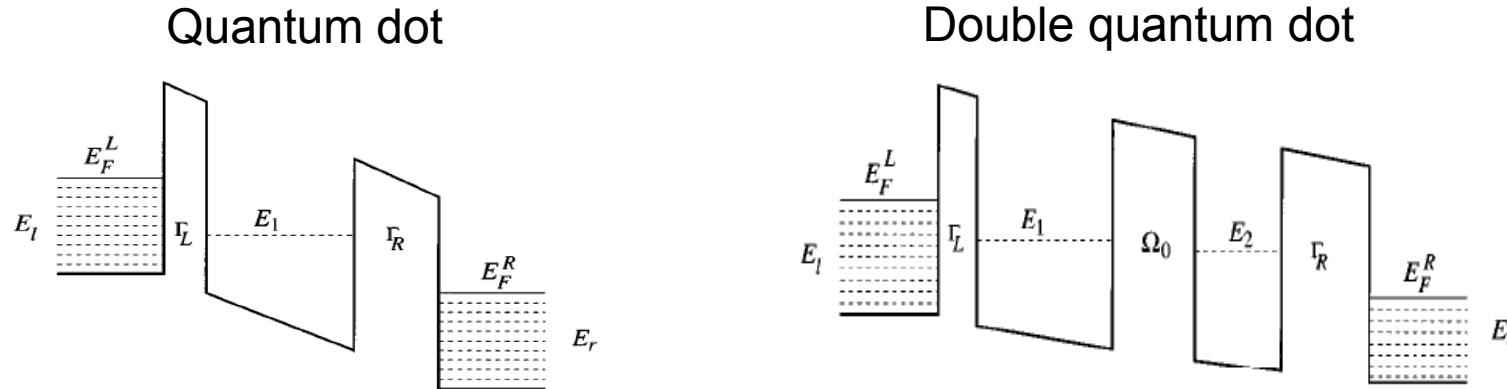
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Solid-state quantum circuits (see, e.g., JQY and Nori, *Nature* 474, 589-597 (2011); Xiang, Ashhab, JQY and Nori, arXiv: 1204.2137, to appear in *Rev. Mod. Phys.*)

Fermionic baths: Electric leads, background charge fluctuations,

- Summary and outlook

Quantum confined system coupled to fermionic reservoirs



- The total Hamiltonian of a quantum confined system coupled to two fermionic reservoirs

$$H_{tot} = H_{sys} + H_{int} + H_{env}$$

$$H_{int} = \sum_k (g_{Lk} c_L^\dagger a_{Lk} + g_{Rk} c_R^\dagger a_{Rk} + H.c.)$$

$$H_{env} = \sum_k (\omega_{Lk} a_{Lk}^\dagger a_{Lk} + \omega_{Rk} a_{Rk}^\dagger a_{Rk})$$

In a quantum state diffusion approach, environments are required to be initially **at zero temperature**, so as to conveniently represent the environmental degrees of freedom with the **coherent state basis**.



Bogoliubov transformation: Converting a nonzero- to a zero-temperature problem

As for environments initially with a **nonzero** temperature, one can **map** the **nonzero**-temperature density operator to **a zero**-temperature density operator using a **Bogoliubov transformation** [T. Yu, *Phys. Rev. A* 69, 062107 (2004)].

By including the part involving **holes** in the electric leads, the total Hamiltonian can be written as

$$H_{tot} = H_{sys} + \sum_{\lambda k} (g_{\lambda k} c_{\lambda}^{\dagger} a_{\lambda k} + H.c.) + \sum_{\lambda k} \omega_{\lambda k} a_{\lambda k}^{\dagger} a_{\lambda k} + \boxed{\sum_{\lambda k} \omega_{\lambda k} b_{\lambda k} b_{\lambda k}^{\dagger}}$$

Performing Bogoliubov transformation for fermionic operators:

$$a_{\lambda k} = \sqrt{1 - n_{\lambda k}} d_{\lambda k} - \sqrt{n_{\lambda k}} e_{\lambda k}^{\dagger} \quad b_{\lambda k} = \sqrt{1 - n_{\lambda k}} e_{\lambda k} + \sqrt{n_{\lambda k}} d_{\lambda k}^{\dagger}$$

$$H'_{tot} = H_{sys} + \sum_{\lambda k} \sqrt{1 - n_{\lambda k}} (g_{\lambda k} c_{\lambda}^{\dagger} d_{\lambda k} + H.c.) + \sum_{\lambda k} \sqrt{n_{\lambda k}} (g_{\lambda k}^* c_{\lambda} e_{\lambda k} + H.c.) + \sum_{\lambda k} \omega_{\lambda k} d_{\lambda k}^{\dagger} d_{\lambda k} + \sum_{\lambda k} \omega_{\lambda k} e_{\lambda k} e_{\lambda k}^{\dagger}$$

$$H'_{tot}(t) = H_{sys} + \sum_{\lambda k} (\sqrt{1 - n_{\lambda k}} g_{\lambda k} c_{\lambda}^{\dagger} d_{\lambda k} e^{-i\omega_{\lambda k} t} + \sqrt{n_{\lambda k}} g_{\lambda k}^* c_{\lambda} e_{\lambda k} e^{i\omega_{\lambda k} t} + H.c.)$$

The fermionic baths with **nonzero** initial temperatures are **mapped** to virtual fermionic baths with **zero** initial temperature.



Fermionic coherent states representation

- Initial condition $|\Psi_0\rangle = |\psi_0\rangle \otimes |0\rangle$ $|0\rangle = \otimes_{\lambda} |0\rangle_{\lambda d} \otimes |0\rangle_{\lambda e}$
 $d_{\lambda k} |0\rangle = 0$ $e_{\lambda k} |0\rangle = 0$
- Fermionic coherent state basis for the environments
 $|z\rangle_{\lambda} = \otimes_k |z_k\rangle_{\lambda} = e^{-\sum_k z_{\lambda k} d_{\lambda k}^{\dagger}} |0\rangle$ $|w\rangle_{\lambda} = \otimes_k |w_k\rangle_{\lambda} = e^{-\sum_k w_{\lambda k} e_{\lambda k}^{\dagger}} |0\rangle$ $|zw\rangle = \otimes_{\lambda} |z\rangle_{\lambda} \otimes |w\rangle_{\lambda}$
Grassmann variables $z_{\lambda k}, w_{\lambda k}$
- In the coherent state representation $|\psi_t(z^*, w)\rangle \equiv \langle zw| \Psi_t \rangle$
 $\frac{\partial}{\partial t} |\Psi_t\rangle = -i H_{tot}(t) |\Psi_t\rangle$ → $\frac{\partial}{\partial t} |\psi_t(z^*, w)\rangle = -i \langle zw| H_{tot}(t) |\Psi_t\rangle$

Use the following equations:

$$d_{\lambda k} \langle zw| = z_{\lambda k}^* \langle zw| \quad d_{\lambda k}^{\dagger} \langle zw| = \frac{\partial}{\partial z_{\lambda k}^*} \langle zw| \quad e_{\lambda k} \langle zw| = w_{\lambda k}^* \langle zw| \quad e_{\lambda k}^{\dagger} \langle zw| = \frac{\partial}{\partial w_{\lambda k}^*} \langle zw|$$

$$\frac{\partial}{\partial z_{\lambda k}^*} = \int \frac{\partial z_{\lambda}^*(s)}{\partial z_{\lambda k}^*} \frac{\delta}{\delta z_{\lambda}^*(s)} ds \quad \frac{\partial}{\partial w_{\lambda k}^*} = \int \frac{\partial w_{\lambda}^*(s)}{\partial w_{\lambda k}^*} \frac{\delta}{\delta w_{\lambda}^*(s)} ds \quad (\text{chain rules})$$

Non-Markovian quantum state diffusion (QSD) equation



Non-Markovian QSD equation (stochastic Schrödinger equation)

$$\begin{aligned} \frac{\partial}{\partial t} |\psi_t\rangle = & -iH_{sys} |\psi_t\rangle - \sum_{\lambda} [c_{\lambda} z_{\lambda}^*(t) + c_{\lambda}^\dagger w_{\lambda}^*(t)] |\psi_t\rangle \\ & - \sum_{\lambda} c_{\lambda}^\dagger \int_0^t \alpha_{\lambda 1}(t-s) \frac{\delta}{\delta z_{\lambda}^*(s)} |\psi_t\rangle ds - \sum_{\lambda} c_{\lambda} \int_0^t \alpha_{\lambda 2}(t-s) \frac{\delta}{\delta w_{\lambda}^*(s)} |\psi_t\rangle ds \end{aligned}$$

Noise function

$$z_{\lambda}^*(t) = -i \sum_k \sqrt{1 - \bar{n}_{\lambda k}} g_{\lambda k}^* z_{\lambda k} e^{i\omega_{\lambda k} t} \quad w_{\lambda}^*(t) = -i \sum_k \sqrt{\bar{n}_{\lambda k}} g_{\lambda k} w_{\lambda k}^* e^{-i\omega_{\lambda k} t}$$

Statistical properties of noise function

$$M\{z_{\lambda}(t)\} = M\{z_{\lambda}(t)z_{\lambda}(s)\} = 0 \quad M\{z_{\lambda}(t)z_{\lambda}^*(s)\} = \alpha_{\lambda 1}(t-s) = \int d\omega [1 - \bar{n}_{\lambda}(\omega)] J_{\lambda}(\omega) e^{-i\omega(t-s)}$$

$$M\{w_{\lambda}(t)\} = M\{w_{\lambda}(t)w_{\lambda}(s)\} = 0 \quad M\{w_{\lambda}(t)w_{\lambda}^*(s)\} = \alpha_{\lambda 2}(t-s) = \int d\omega \bar{n}_{\lambda}(\omega) J_{\lambda}(\omega) e^{i\omega(t-s)}$$

$$M\{\dots\} \equiv \int e^{-z^* z - w^* w} \{\dots\} d^2 z d^2 w$$

$$z^* z = \sum_{\lambda k} z_{\lambda k}^* z_{\lambda k} \quad w^* w = \sum_{\lambda k} w_{\lambda k}^* w_{\lambda k} \quad d^2 z = \prod_{\lambda k} dz_{\lambda k}^* dz_{\lambda k} \quad d^2 w = \prod_{\lambda k} dw_{\lambda k}^* dw_{\lambda k}$$

The completeness relation for coherent states: $\int e^{-z^* z - w^* w} |zw\rangle\langle zw| d^2 z d^2 w = 1$



The O-operator and its equation of motion

Non-Markovian QSD equation (stochastic Schrödinger equation)

$$\begin{aligned} \frac{\partial}{\partial t} |\psi_t\rangle = & -iH_{sys} |\psi_t\rangle - \sum_{\lambda} [c_{\lambda} z_{\lambda}^*(t) + c_{\lambda}^\dagger w_{\lambda}^*(t)] |\psi_t\rangle \\ & - \sum_{\lambda} c_{\lambda}^\dagger \int_0^t \alpha_{\lambda 1}(t-s) \frac{\delta}{\delta z_{\lambda}^*(s)} |\psi_t\rangle ds - \sum_{\lambda} c_{\lambda} \int_0^t \alpha_{\lambda 2}(t-s) \frac{\delta}{\delta w_{\lambda}^*(s)} |\psi_t\rangle ds \end{aligned}$$

- Like the Diosi-Gisin-Strunz equation in the bosonic-bath case, we introduce the O-operators:

$$\begin{aligned} \frac{\delta}{\delta z_{\lambda}^*(s)} |\psi_t(z^*, w^*)\rangle &= O_{\lambda 1}(t, s, z^*, w^*) |\psi_t(z^*, w^*)\rangle & O_{\lambda 1}(t, t, z^*, w^*) &= c_{\lambda} \\ \frac{\delta}{\delta w_{\lambda}^*(s)} |\psi_t(z^*, w^*)\rangle &= O_{\lambda 2}(t, s, z^*, w^*) |\psi_t(z^*, w^*)\rangle & O_{\lambda 2}(t, t, z^*, w^*) &= c_{\lambda}^\dagger \end{aligned}$$

- Equation of motion for the O-operators:

$$\frac{\partial O_{\lambda n}}{\partial t} = [-iH_{sys} - \sum_{\lambda'} (c_{\lambda'}^\dagger \bar{O}_{\lambda' 1} + c_{\lambda'} \bar{O}_{\lambda' 2}), O_{\lambda n}] + Q_n + \sum_{\lambda'} (\{c_{\lambda'}, O_{\lambda n}\} z_{\lambda'}^*(t) + \{c_{\lambda'}^\dagger, O_{\lambda n}\} w_{\lambda'}^*(t))$$

$$Q_n = c_L^\dagger \frac{\delta \bar{O}_{L1}}{\delta \Lambda_n} + c_R^\dagger \frac{\delta \bar{O}_{R1}}{\delta \Lambda_n} + c_L \frac{\delta \bar{O}_{L2}}{\delta \Lambda_n} + c_R \frac{\delta \bar{O}_{R2}}{\delta \Lambda_n} \quad \Lambda_1 = z_{\lambda}^*(s) \quad \Lambda_2 = w_{\lambda}^*(s)$$



Non-Markovian master equation

Stochastic reconstruction of the reduced density operator:

$$\rho_t = \text{Tr}_{\text{env}} \langle \Psi_t | \Psi_t \rangle = \int e^{-z^* z - w^* w} |\psi_t(z^*, w^*)\rangle \langle \psi_t(-z, -w)| d^2 z d^2 w \equiv M \{|\psi_t(z^*, w^*)\rangle \langle \psi_t(-z, -w)|\}$$

- Stochastic projection operator

$$P_t \equiv |\psi_t(z^*, w^*)\rangle \langle \psi_t(-z, -w)| \quad \longrightarrow \quad \rho_t = M \{P_t\}$$

- Non-Markovian master equation

$$\begin{aligned} \frac{\partial}{\partial t} \rho_t = & -i[H_{\text{sys}}, \rho_t] + \sum_{\lambda} ([c_{\lambda}, M\{P_t \bar{O}_{\lambda 1}^\dagger(t, -z, -w)\}] - [c_{\lambda}^\dagger, M\{\bar{O}_{\lambda 1}(t, z^*, w^*) P_t\}]) \\ & - [c_{\lambda}, M\{\bar{O}_{\lambda 2}(t, z^*, w^*) P_t\}] + [c_{\lambda}^\dagger, M\{P_t \bar{O}_{\lambda 2}^\dagger(t, -z, -w)\}] \end{aligned}$$

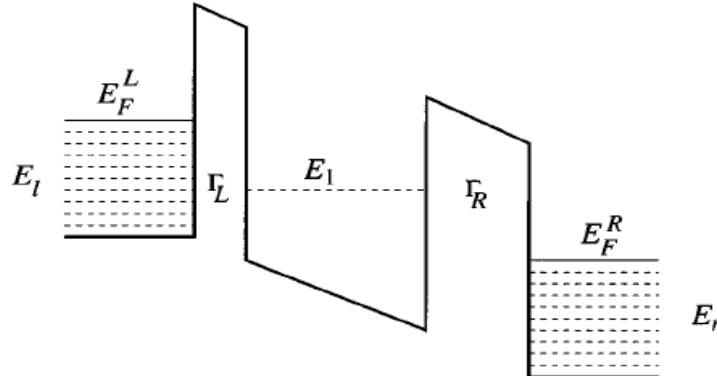
Generally, it is complex, but can have a simple form for a given system.

- Markov limit $\alpha_{\lambda 1}(t-s) \rightarrow [1 - \bar{n}_{\lambda}] \Gamma_{\lambda} \delta(t-s)$ $\alpha_{\lambda 2}(t-s) \rightarrow \bar{n}_{\lambda} \Gamma_{\lambda} \delta(t-s)$

$$\frac{\partial}{\partial t} \rho_t = -i[H_{\text{sys}}, \rho_t] + \sum_{\lambda} \frac{\Gamma_{\lambda}}{2} [\bar{n}_{\lambda} (2c_{\lambda}^\dagger \rho_t c_{\lambda} - c_{\lambda} c_{\lambda}^\dagger \rho_t - \rho_t c_{\lambda} c_{\lambda}^\dagger) + (1 - \bar{n}_{\lambda}) (2c_{\lambda} \rho_t c_{\lambda}^\dagger - c_{\lambda}^\dagger c_{\lambda} \rho_t - \rho_t c_{\lambda}^\dagger c_{\lambda})]$$



Applications: (1) Single quantum dot



- Single quantum dot

$$H_{tot} = H_{sys} + H_{int} + H_{env}$$

$$H_{env} = \sum_k (\omega_{Lk} a_{Lk}^\dagger a_{Lk} + \omega_{Rk} a_{Rk}^\dagger a_{Rk})$$

$$H_{int} = \sum_k (g_{Lk} c_L^\dagger a_{Lk} + g_{Rk} c_R^\dagger a_{Rk} + H.c.)$$

$$H_{sys} = \omega_0 c^\dagger c \quad c_L = c_R = c$$

Strong Coulomb blockade:

$$U \rightarrow \infty$$

Constraint: Only one electron is allowed in the single quantum dot

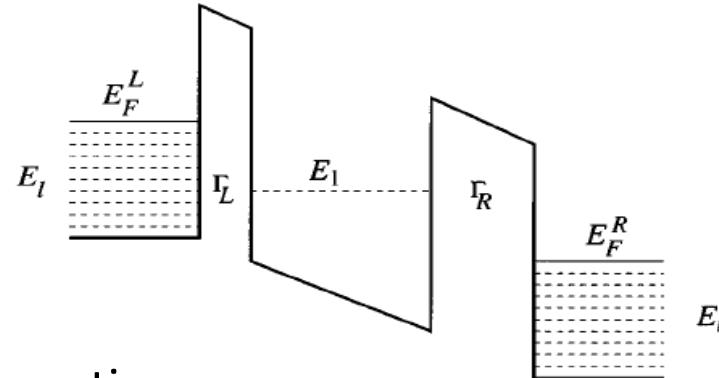
- O-operators

$$O_{\lambda 1}(t, s, z^*, w^*) = f_1(t, s)c + \int_0^t q_1(t, s, s')[w_L^*(s') + w_R^*(s')]ds'$$

$$O_{\lambda 2}(t, s, z^*, w^*) = f_2(t, s)c^\dagger + \int_0^t q_2(t, s, s')[z_L^*(s') + z_R^*(s')]ds'$$



Applications: (1) Single quantum dot



- Exact non-Markovian master equation

$$\frac{\partial}{\partial t} \rho_t = -i[H_{\text{sys}}, \rho_t] + \Gamma_1(t)[c, \rho_t c^\dagger] + \Gamma_2(t)[c, c^\dagger \rho_t] - \Gamma_1^*(t)[c^\dagger, c \rho_t] - \Gamma_2^*(t)[c^\dagger, \rho_t c]$$

with time-dependent rates:

$$\begin{aligned}
 \Gamma_j(t) &= \int_0^t [\alpha_1(t-s)A_j(t,s) - \alpha_2(t-s)B_j(t,s)]ds & \alpha_j(t-s) &= \alpha_{Lj}(t-s) + \alpha_{Rj}(t-s) \\
 \left(\frac{\partial}{\partial s} - i\omega_0\right)A_j(t,s) + \int_0^s \beta(s-s')A_j(t,s')ds' &= U(t,s) & U(t,s) &= \int_0^t \alpha_2(t-s)h(t,s')ds' \\
 \left(\frac{\partial}{\partial s} - i\omega_0\right)B_j(t,s) + \int_0^s \beta(s-s')B_j(t,s')ds' &= V(t,s) & V(t,s) &= \int_0^t \alpha_1(t-s)h(t,s')ds' \\
 \left(\frac{\partial}{\partial s} - i\omega_0\right)h(t,s) - \int_s^t \beta(t-s')h(t,s')ds' &= 0 & \beta(s-s') &= \alpha_1(s'-s) + \alpha_2(s-s')
 \end{aligned}$$

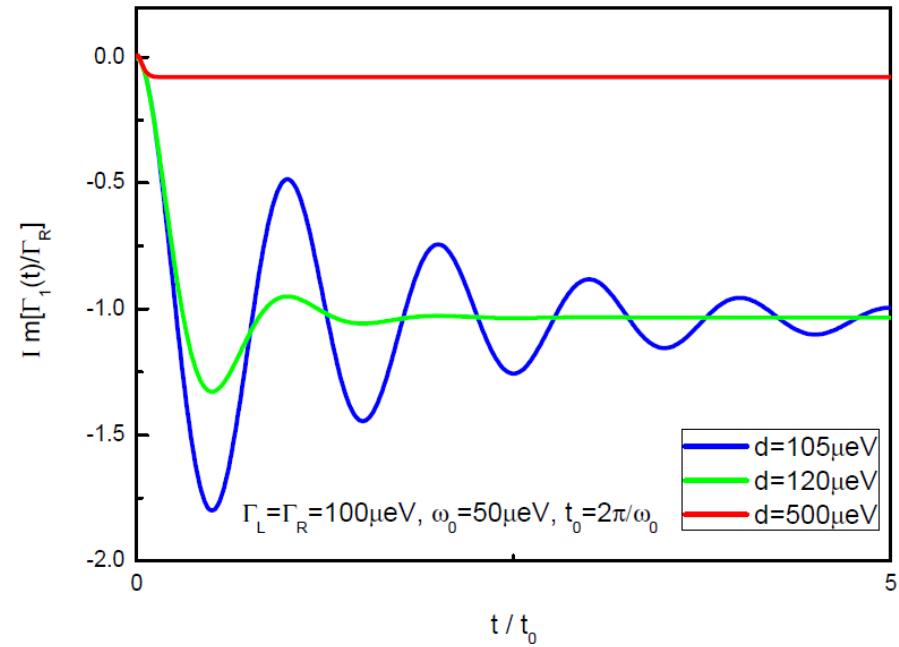
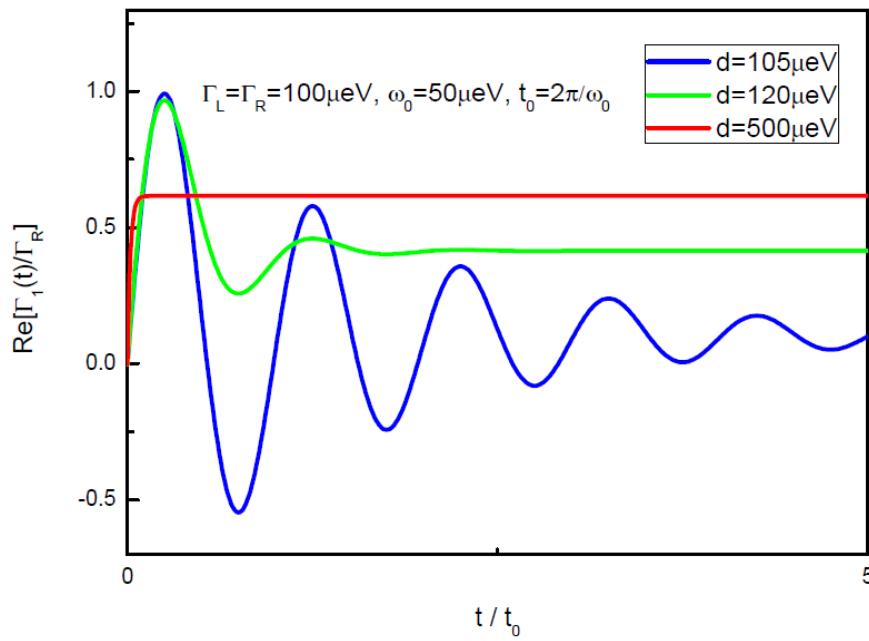
Final condition at $s = t$: $A_1(t,t) = B_1(t,t) = h(t,t) = 1$ $A_2(t,t) = B_2(t,t) = 0$



Applications: (1) Single quantum dot

- Exact non-Markovian master equation

$$\frac{\partial}{\partial t} \rho_t = -i[H_{sys}, \rho_t] + \Gamma_1(t)[c, \rho_t c^\dagger] + \Gamma_2(t)[c, c^\dagger \rho_t] - \Gamma_1^*(t)[c^\dagger, c \rho_t] - \Gamma_2^*(t)[c^\dagger, \rho_t c]$$



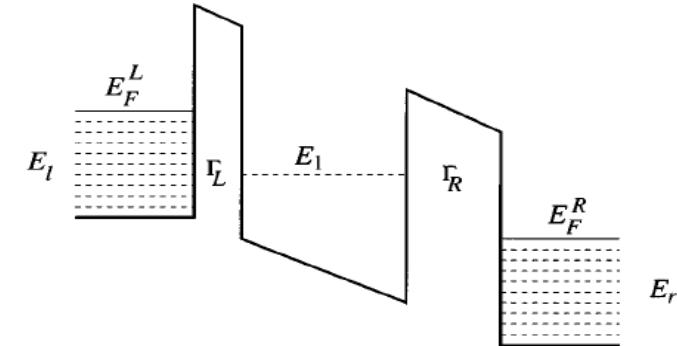


Applications: (1) Single quantum dot

Markov limit:

$$\Gamma_1(t) \rightarrow \frac{1}{2}[1 - \bar{n}_L(\omega_0)]\Gamma_L + \frac{1}{2}[1 - \bar{n}_R(\omega_0)]\Gamma_R$$

$$\Gamma_2(t) \rightarrow -\frac{1}{2}\bar{n}_L(\omega_0)\Gamma_L - \frac{1}{2}\bar{n}_R(\omega_0)\Gamma_R$$



Master equation in the **Lindblad** form:

$$\frac{\partial}{\partial t} \rho_t = -i[H_{sys}, \rho_t] + \sum_{\lambda} \frac{\Gamma_{\lambda}}{2} [\bar{n}_{\lambda} (2c_{\lambda}^{\dagger} \rho_t c_{\lambda} - c_{\lambda} c_{\lambda}^{\dagger} \rho_t - \rho_t c_{\lambda} c_{\lambda}^{\dagger}) + (1 - \bar{n}_{\lambda}) (2c_{\lambda} \rho_t c_{\lambda}^{\dagger} - c_{\lambda}^{\dagger} c_{\lambda} \rho_t - \rho_t c_{\lambda}^{\dagger} c_{\lambda})]$$

Large bias limit: $\mu_L > \omega_0 > \mu_R$

Zero temperature: $\bar{n}_L(\omega_0) = 1$ $\bar{n}_R(\omega_0) = 0$

$$\dot{\rho}_{00} = -\Gamma_L \rho_{00} + \Gamma_R \rho_{11}$$

$|0\rangle$ empty dot state

$$\dot{\rho}_{11} = \Gamma_L \rho_{00} - \Gamma_R \rho_{11}$$

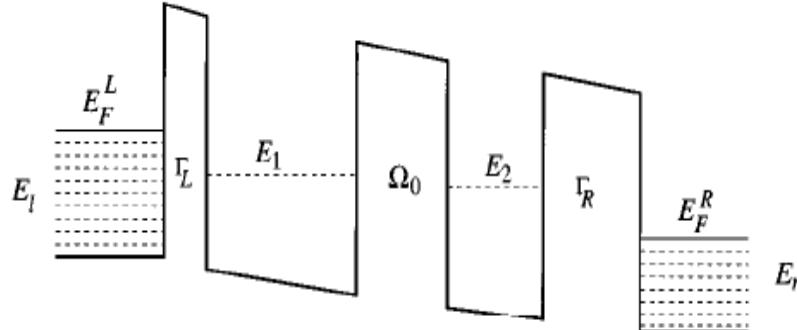
$|1\rangle$ occupied dot state

$$\dot{\rho}_{10} = -[i\omega_0 + \Gamma_L + \Gamma_R]\rho_{10}$$

S. A. Gurvitz and Ya. S. Prager, *Phys. Rev. B* 53, 15932 (1996)



Applications: (2) Double quantum dot



(a) $U \rightarrow \infty, V = 0$

Constraint: At most two electrons are allowed in the DQD.

(b) $U \rightarrow \infty, V \rightarrow \infty$

Constraint: Only one electron is allowed in the DQD.

- Double quantum dot

$$H_{tot} = H_{sys} + H_{int} + H_{env}$$

$$H_{env} = \sum_k (\omega_{Lk} a_{Lk}^\dagger a_{Lk} + \omega_{Rk} a_{Rk}^\dagger a_{Rk}) \quad H_{int} = \sum_k (g_{Lk} c_L^\dagger a_{Lk} + g_{Rk} c_R^\dagger a_{Rk} + H.c.)$$

$$H_{sys} = \omega_1 c_1^\dagger c_1 + \omega_2 c_2^\dagger c_2 + \Omega_0 (c_2^\dagger c_1 + c_1^\dagger c_2) \quad c_L = c_1 \quad c_R = c_2$$

- O-operators

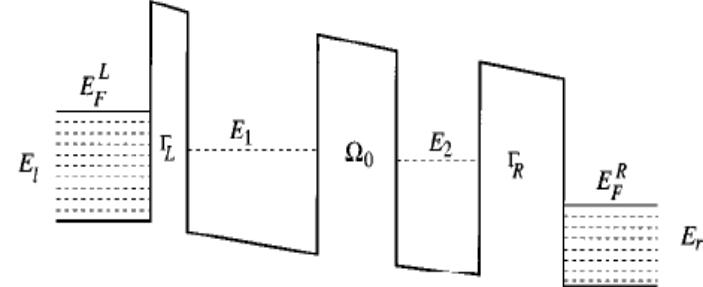
$$O_{\lambda 1}(t, s, z^*, w^*) = f_{\lambda 1}(t, s)c_1 + f_{\lambda 2}(t, s)c_2 + \int_0^t [f_{\lambda 3}(t, s, s')w_L^*(s') + f_{\lambda 4}(t, s, s')w_R^*(s')]ds'$$

$$O_{\lambda 2}(t, s, z^*, w^*) = m_{\lambda 1}(t, s)c_1 + m_{\lambda 2}(t, s)c_2 + \int_0^t [m_{\lambda 3}(t, s, s')w_L^*(s') + m_{\lambda 4}(t, s, s')w_R^*(s')]ds'$$



Applications: (2) Double quantum dot

Exact non-Markovian master equation



$$\begin{aligned}
 \frac{\partial}{\partial t} \rho_t = & -i[H_{sys}, \rho_t] + \Gamma_{L1}(t)[c_1, \rho_t c_1^\dagger] + \Gamma_{L2}(t)[c_1, c_1^\dagger \rho_t] + \Gamma_{L3}(t)[c_1, \rho_t c_2^\dagger] + \Gamma_{L4}(t)[c_1, c_2^\dagger \rho_t] \\
 & + \Gamma_{R1}(t)[c_2, \rho_t c_1^\dagger] + \Gamma_{R2}(t)[c_2, c_1^\dagger \rho_t] + \Gamma_{R3}(t)[c_2, \rho_t c_2^\dagger] + \Gamma_{R4}(t)[c_2, c_2^\dagger \rho_t] \\
 & - \Gamma_{L1}^*(t)[c_1^\dagger, \rho_t c_1] - \Gamma_{L2}^*(t)[c_1^\dagger, c_1 \rho_t] - \Gamma_{L3}^*(t)[c_1^\dagger, c_2 \rho_t] - \Gamma_{L4}^*(t)[c_1^\dagger, \rho_t c_2] \\
 & - \Gamma_{R1}^*(t)[c_2^\dagger, \rho_t c_1] - \Gamma_{R2}^*(t)[c_2^\dagger, c_1 \rho_t] - \Gamma_{R3}^*(t)[c_2^\dagger, c_2 \rho_t] - \Gamma_{R4}^*(t)[c_2^\dagger, \rho_t c_2]
 \end{aligned}$$

with time-dependent rates

$$\Gamma_{\lambda_j}(t) = \int_0^t [\alpha_{\lambda 1}(t-s) A_{\lambda_j}(t,s) - \alpha_{\lambda 2}(t-s) B_{\lambda_j}(t,s)] ds$$

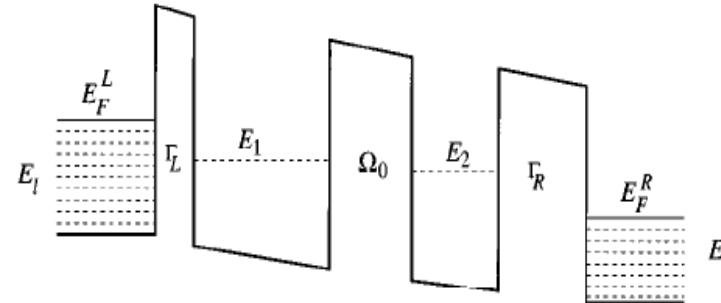
$A_{\lambda_j}(t,s)$ $B_{\lambda_j}(t,s)$ satisfy a set of integro-differential equations, with final conditions

$$A_{L1}(t,t) = A_{R3}(t,t) = B_{L2}(t,t) = B_{R4}(t,t) = 1$$

$$A_{\lambda_j}(t,t) = B_{\lambda_j}(t,t) = 0 \quad \text{for other } \lambda, j$$



Applications: (2) Double quantum dot



Markov limit:

$$\Gamma_{L1}(t) = \frac{1}{2}[1 - \bar{n}_L(\omega_1)]\Gamma_L \quad \Gamma_{L2}(t) = -\frac{1}{2}\bar{n}_L(\omega_1)\Gamma_L$$

$$\Gamma_{R3}(t) = \frac{1}{2}[1 - \bar{n}_R(\omega_2)]\Gamma_R \quad \Gamma_{R4}(t) = -\frac{1}{2}\bar{n}_R(\omega_2)\Gamma_R$$

$$\Gamma_{R1}(t) = \Gamma_{R2}(t) = \Gamma_{L3}(t) = \Gamma_{L4}(t) = 0$$

Master equation in the Lindblad form:

$$\frac{\partial}{\partial t} \rho_t = -i[H_{\text{sys}}, \rho_t] + \sum_{\lambda} \frac{\Gamma_{\lambda}}{2} [\bar{n}_{\lambda} (2c_{\lambda}^{\dagger} \rho_t c_{\lambda} - c_{\lambda} c_{\lambda}^{\dagger} \rho_t - \rho_t c_{\lambda} c_{\lambda}^{\dagger}) + (1 - \bar{n}_{\lambda}) (2c_{\lambda} \rho_t c_{\lambda}^{\dagger} - c_{\lambda}^{\dagger} c_{\lambda} \rho_t - \rho_t c_{\lambda}^{\dagger} c_{\lambda})]$$



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- Large bias limit and zero temperature condition

$$\mu_L > \omega_1, \omega_2 > \mu_R \quad \bar{n}_L(\omega_1) = 1 \quad \bar{n}_R(\omega_2) = 0$$

$|0\rangle$ empty dot $|1\rangle, |2\rangle$ left (right)dot occupied
 $|3\rangle$ both dots occupied

- Strong Coulomb-blockade regime

(a) Strong interdot Coulomb repulsion

$$\dot{\rho}_{00} = -\Gamma_L \rho_{00} + \Gamma_R \rho_{22}$$

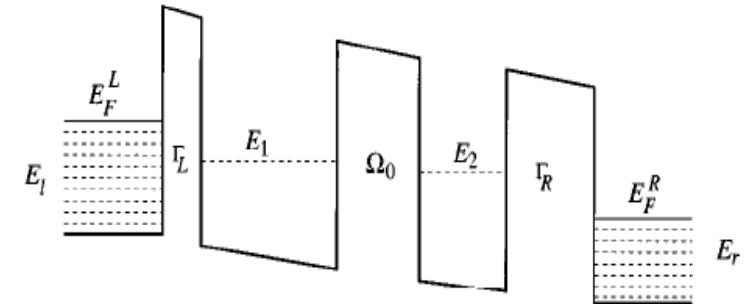
$$\dot{\rho}_{11} = \Gamma_L \rho_{00} + \Gamma_R \rho_{33} + i\Omega_0 (\rho_{12} - \rho_{21})$$

$$\dot{\rho}_{22} = -(\Gamma_L + \Gamma_R) \rho_{22} - i\Omega_0 (\rho_{12} - \rho_{21})$$

$$\dot{\rho}_{33} = \Gamma_L \rho_{22} - \Gamma_R \rho_{33}$$

$$\dot{\rho}_{12} = -i(\omega_1 - \omega_2) \rho_{12} + i\Omega_0 (\rho_{11} - \rho_{22}) - \frac{\Gamma_L + \Gamma_R}{2} \rho_{12}$$

S. A. Gurvitz and Y. S. Prager, *PRB* 53,15932 (1996);
M. W. Y. Tu and W. M. Zhang, *PRB* 78, 235311 (2008).



(b) Both strong intra- and interdot Coulomb repulsions

$$\dot{\rho}_{00} = -\Gamma_L \rho_{00} + \Gamma_R \rho_{22}$$

$$\dot{\rho}_{11} = \Gamma_L \rho_{00} + i\Omega_0 (\rho_{12} - \rho_{21})$$

$$\dot{\rho}_{22} = -\Gamma_R \rho_{22} - i\Omega_0 (\rho_{12} - \rho_{21})$$

$$\dot{\rho}_{12} = -i(\omega_1 - \omega_2) \rho_{12} + i\Omega_0 (\rho_{11} - \rho_{22}) - \frac{\Gamma_R}{2} \rho_{12}$$

T. H. Stoof and Y. V. Nazarov, *PRB* 53,1050 (1996)



Summary and outlook

Summary

- Non-Markovian quantum state diffusion (QSD) approach is extended to deal with the **fermionic** environments.
- Non-Markovian master equation is derived.
- The approach is applied to **single quantum dot and double quantum dot**, each coupled to two electric leads.

Outlook

- Connection to Feynman-Vernon influence functional approach?
- Non-Markovian QSD for a **spin** environment?
- Non-Markovian QSD for the system **nonlinearly** coupled to either a bosonic or fermionic bath ?