## Dynamics and description after relaxation of disordered quantum systems after a sudden quench

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in transition to

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## Collaborators

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- Christian Gramsch (Georgetown U and U Augsburg)
- V. Dunjko, A. Muramatsu, M. Olshanii, A. Polkovnikov, L. F. Santos, M. Srednicki

#### Supported by:



#### Introduction

- Motivation
- Unitary evolution and thermalization
- Results for nonintegrable and integrable systems

Non-equilibrium dynamics in the presence of disorder
Nonintegrable system
Integrable system

## 3 Summary

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### Foundations of quantum statistical mechanics

Quantum ergodicity: John von Neumann '29 (Proof of the ergodic theorem and the H-theorem in quantum mechanics)



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Quantum ergodicity: John von Neumann '29 (Proof of the ergodic theorem and the H-theorem in quantum mechanics)



#### Related to ideas on typicality and eigenstate thermalization:

Goldstein, Lebowitz, Tumulka, and Zanghi '06 (Canonical Typicality) Popescu, Short, and A. Winter '06

(Entanglement and the foundation of statistical mechanics)

Goldstein, Lebowitz, Mastrodonato, Tumulka, and Zanghi '10 (Normal typicality and von Neumann's quantum ergodic theorem)

MR and Srednicki '12 (Alternatives to Eigenstate Thermalization)

## Experiments with ultracold gases in 1D



Effective one-dimensional  $\delta$  potential M. Olshanii, PRL **81**, 938 (1998).

 $U_{1D}(x) = g_{1D}\delta(x)$ 

where

$$g_{1D} = \frac{2\hbar a_s \omega_\perp}{1 - C a_s \sqrt{\frac{m\omega_\perp}{2\hbar}}}$$

## Experiments with ultracold gases in 1D



Girardeau '60

- T. Kinoshita, T. Wenger, and D. S. Weiss, Science **305**, 1125 (2004).
- T. Kinoshita, T. Wenger, and D. S. Weiss, Phys. Rev. Lett. **95**, 190406 (2005).

$$2 \gtrsim \gamma_{\text{eff}} = \frac{mg_{1D}}{\hbar^2 \rho} \gtrsim 20$$

Effective one-dimensional  $\delta$  potential M. Olshanii, PRL **81**, 938 (1998).

 $U_{1D}(x) = g_{1D}\delta(x)$ 

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Lieb, Schulz, and Mattis '61

B. Paredes *et al.*, Nature **429**, 277 (2004).

$$\gamma_{\rm eff} = rac{U}{J} pprox 5-200$$

#### Absence of thermalization in 1D?



T. Kinoshita, T. Wenger, and D. S. Weiss, Nature **440**, 900 (2006).

 $\gamma = \frac{mg_{1D}}{\hbar^2 \rho}$ 

 $g_{1D}$ : Interaction strength  $\rho$ : One-dimensional density

If  $\gamma \gg 1$  the system is in the strongly correlated Tonks-Girardeau regime

If  $\gamma \ll 1$  the system is in the weakly interacting regime

Gring et al., Science 337, 1318 (2012).

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#### Exact results from quantum mechanics

If the initial state is not an eigenstate of  $\widehat{H}$ 

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eq |\alpha
angle \quad \text{where} \quad \widehat{H}|\alpha
angle = E_{\alpha}|\alpha
angle \quad \text{and} \quad E_0 = \langle\psi_0|\widehat{H}|\psi_0
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then a generic observable O will evolve in time following

$$O(\tau) \equiv \langle \psi(\tau) | \widehat{O} | \psi(\tau) \rangle \quad \text{where} \quad |\psi(\tau)\rangle = e^{-i\widehat{H}\tau} |\psi_0\rangle.$$

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What is it that we call thermalization?

$$\overline{O(\tau)} = O(E_0) = O(T) = O(T, \mu).$$

#### Exact results from quantum mechanics

If the initial state is not an eigenstate of  $\widehat{H}$ 

$$|\psi_0\rangle \neq |\alpha\rangle \quad \text{where} \quad \widehat{H}|\alpha\rangle = E_\alpha |\alpha\rangle \quad \text{and} \quad E_0 = \langle \psi_0 | \widehat{H} | \psi_0 \rangle,$$

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One can rewrite

$$O(\tau) = \sum_{\alpha',\alpha} C^{\star}_{\alpha'} C_{\alpha} e^{i(E_{\alpha'} - E_{\alpha})\tau} O_{\alpha'\alpha} \quad \text{where} \quad |\psi_0\rangle = \sum_{\alpha} C_{\alpha} |\alpha\rangle,$$

and taking the infinite time average (diagonal ensemble)

$$\overline{O(\tau)} = \lim_{\tau \to \infty} \frac{1}{\tau} \int_0^\tau d\tau' \langle \Psi(\tau') | \hat{O} | \Psi(\tau') \rangle = \sum_\alpha |C_\alpha|^2 O_{\alpha \alpha} \equiv \langle \hat{O} \rangle_{\rm diag},$$

which depends on the initial conditions through  $C_{\alpha} = \langle \alpha | \psi_0 \rangle$ .

#### Width of the energy density, sudden quench

Initial state  $|\psi_0\rangle = \sum_{\alpha} C_{\alpha} |\alpha\rangle$  is an eigenstate of  $\widehat{H}_0$ . At  $\tau = 0$ 

$$\widehat{H}_0 \to \widehat{H} = \widehat{H}_0 + \widehat{W} \qquad \text{with} \quad \widehat{W} = \sum_{j \in \sigma} \hat{w}(j) \quad \text{and} \quad \widehat{H} | \alpha \rangle = E_\alpha | \alpha \rangle.$$

MR, V. Dunjko, and M. Olshanii, Nature 452, 854 (2008).

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The width of the energy density  $\Delta E$  is then

$$\Delta E = \sqrt{\sum_{\alpha} E_{\alpha}^2 |C_{\alpha}|^2 - (\sum_{\alpha} E_{\alpha} |C_{\alpha}|^2)^2} = \sqrt{\langle \psi_0 |\widehat{W}^2 |\psi_0 \rangle - \langle \psi_0 |\widehat{W} |\psi_0 \rangle^2},$$

or

$$\Delta E = \sqrt{\sum_{j_1, j_2 \in \sigma} \left[ \langle \psi_0 | \hat{w}(j_1) \hat{w}(j_2) | \psi_0 \rangle - \langle \psi_0 | \hat{w}(j_1) | \psi_0 \rangle \langle \psi_0 | \hat{w}(j_2) | \psi_0 \rangle \right]} \overset{L \to \infty}{\propto} L^{d_{\sigma}/2}$$

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Since the width of the full spectrum diverges as  $L^{d_L}$ 

$$\Delta \epsilon = \frac{\Delta E}{L^{d_L}} \stackrel{L \to \infty}{\propto} \frac{1}{L^{d_L - d_\sigma/2}},$$

 $d_L(d_{\sigma})$  is the dimensionality of the lattice (of the region affected by the quench). since  $d_L \ge d_{\sigma}$  then  $\Delta \epsilon$  vanishes in the thermodynamic limit.

MR, V. Dunjko, and M. Olshanii, Nature 452, 854 (2008).

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## Description after relaxation

Hard-core boson Hamiltonian

$$\hat{H} = \sum_{i=1}^{L} -t \left( \hat{b}_{i}^{\dagger} \hat{b}_{i+1} + \text{H.c.} \right) + V \hat{n}_{i} \hat{n}_{i+1} - t' \left( \hat{b}_{i}^{\dagger} \hat{b}_{i+2} + \text{H.c.} \right) + V' \hat{n}_{i} \hat{n}_{i+2} + \mu_{i} \hat{n}_{i}$$

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Dynamics vs statistical ensembles



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## Eigenstate thermalization

#### Eigenstate thermalization hypothesis

[Deutsch, PRA 43 2046 (1991); Srednicki, PRE 50, 888 (1994).]

The expectation value ⟨α|Ô|α⟩ of a few-body observable Ô in an eigenstate of the Hamiltonian |α⟩, with energy E<sub>α</sub>, of a many-body system equals the thermal average of Ô at the mean energy E<sub>α</sub>:

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## What changes in the presence of disorder?

#### Many-body localization

- O. M. Basko, I. L. Aleiner, and B. L. Altshuler, Ann. Phys. 321, 1126 (2006).
- V. Oganesyan and D. A. Huse, Phys. Rev. B 75, 155111 (2007).
- A. Pal and D. A. Huse, Phys. Rev. B 82, 174411 (2010).

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Some questions we would like to address

How is the relaxation dynamics?

Will observables fail to equilibrate?

 $O(\tau) \neq \overline{O(\tau)}$ 

• If an observable equilibrates, will it fail to thermalize?

$$\overline{O(\tau)} \neq O(E_0) = O(T) = O(T, \mu)$$

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Spinless fermion Hamiltonian in 1D

$$\hat{H} = \sum_{ij} J_{ij} \left( \hat{f}_i^{\dagger} \hat{f}_j + \text{H.c.} \right) + V \sum_i \left( \hat{n}_i - \frac{1}{2} \right) \left( \hat{n}_{i+1} - \frac{1}{2} \right)$$

E. Khatami, MR, A. Relaño, and A. M. García-García, PRE 85, 050102(R) (2012).

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Hopping amplitudes

Gaussian random distribution  $\langle J_{ij} \rangle = 0$ 

$$\langle (J_{ij})^2 \rangle = \left[ 1 + \left( \frac{|i-j|}{\beta} \right)^{2\alpha} \right]^{-1}$$

Limit V = 0:

- Properties depend on  $\alpha$  but not on  $\beta > 0$
- $\alpha < 1$ , eigenstates are delocalized
- $\alpha > 1$ , eigenstates are localized
- $\alpha = 1$ , eigenstates are multifractal

Mirlin et al., PRE 54, 3221 (1996).

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Limit V = 0:

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#### Metal-insulator transition

$$\eta = [\mathrm{var} - \mathrm{var}_{\mathrm{WD}}] / [\mathrm{var}_{\mathrm{P}} - \mathrm{var}_{\mathrm{WD}}]$$

var: variance of level spacing distribution



#### Quench protocol

- Start from an eigenstate of  $\hat{H}$  ( $|\psi_0\rangle$ ) in a certain disorder realization.
- Evolve under another disorder realization with the same  $\alpha$ .
- $E = \langle \psi_0 | \hat{H}_{fin} | \psi_0 \rangle$  is the energy of a thermal state with temperature T = 10.

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Microcanonical vs diagonal Observables:  $\hat{n}(k) = \frac{1}{L} \sum_{l,m} e^{ik(l-m)} \hat{f}_l^{\dagger} \hat{f}_m$   $\hat{N}(k) = \frac{1}{L} \sum_{l,m} e^{ik(l-m)} \hat{n}_l \hat{n}_m$ Normalized difference:

$$\Delta O = \frac{\sum_k |O_{\rm mic}(k) - O_{\rm diag}(k)|}{\sum_k O_{\rm diag}(k)}$$

Disorder average:

 $\langle \Delta O \rangle_{\rm dis}$ 



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Eigenstate thermalization Observables:

$$\hat{n}(k) = \frac{1}{L} \sum_{l,m} e^{ik(l-m)} \hat{f}_l^{\dagger} \hat{f}_m$$
$$\hat{N}(k) = \frac{1}{L} \sum_{l,m} e^{ik(l-m)} \hat{n}_l \hat{n}_m$$

l.m

Maximal normalized difference:

$$\Delta O_{\alpha\alpha}^{\max} = \frac{\sum_k |O_{\alpha\alpha}^{\max}(k) - O_{\min}(k)|}{\sum_k O_{\min}(k)}$$

Disorder average:  $\langle \Delta O_{\alpha\alpha}^{\max} \rangle_{dis}$ 



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## **Bose-Fermi mapping**

#### Hard-core boson Hamiltonian in an external potential

$$\hat{H} = -J\sum_{i} \left( \hat{b}_{i}^{\dagger} \hat{b}_{i+1} + \text{H.c.} \right) + \sum_{i} v_{i} \ \hat{n}_{i}$$

Constraints on the bosonic operators

$$\hat{b}_i^{\dagger 2}=\hat{b}_i^2=0$$

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Map to spins and then to fermions (Jordan-Wigner transformation)

$$\sigma_i^+ = \hat{f}_i^\dagger \prod_{\beta=1}^{i-1} e^{-i\pi \hat{f}_\beta^\dagger \hat{f}_\beta}, \ \ \sigma_i^- = \prod_{\beta=1}^{i-1} e^{i\pi \hat{f}_\beta^\dagger \hat{f}_\beta} \hat{f}_i$$

Non-interacting fermion Hamiltonian

$$\hat{H}_F = -J\sum_i \left(\hat{f}_i^{\dagger}\hat{f}_{i+1} + \text{H.c.}\right) + \sum_i v_i \; \hat{n}_i^f$$

## Bose-Fermi mapping

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Constraints on the bosonic operators

$$\hat{b}_i^{\dagger 2} = \hat{b}_i^2 = 0$$

#### Integrals of motion

(underlying noninteracting fermions)

$$\hat{H}_F \hat{\gamma}_m^{f\dagger} |0\rangle = E_m \hat{\gamma}_m^{f\dagger} |0\rangle$$

$$\left\{ \hat{I}_m^f \right\} = \left\{ \hat{\gamma}_m^{f\dagger} \hat{\gamma}_m^f \right\}$$

#### Lagrange multipliers

(can be calculated analytically)

$$\lambda_m = \ln\left[\frac{1-\langle \hat{I}_m \rangle_{\tau=0}}{\langle \hat{I}_m \rangle_{\tau=0}}\right]$$

## One-particle density matrix

#### **One-particle Green's function**

$$G_{ij} = \langle \Psi_{HCB} | \sigma_i^- \sigma_j^+ | \Psi_{HCB} \rangle = \langle \Psi_F | \prod_{\beta=1}^{i-1} e^{i\pi \hat{f}_\beta^\dagger \hat{f}_\beta} \hat{f}_i \hat{f}_j^\dagger \prod_{\gamma=1}^{j-1} e^{-i\pi \hat{f}_\gamma^\dagger \hat{f}_\gamma} | \Psi_F \rangle$$

Time evolution

$$|\Psi_F(\tau)\rangle = e^{-i\hat{H}_F\tau/\hbar}|\Psi_F^I\rangle = \prod_{\delta=1}^N \sum_{\sigma=1}^L P_{\sigma\delta}(\tau)\hat{f}_{\sigma}^{\dagger}|0\rangle$$

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$$|\Psi_F(\tau)\rangle = e^{-i\hat{H}_F\tau/\hbar}|\Psi_F^I\rangle = \prod_{\delta=1}^N \sum_{\sigma=1}^L P_{\sigma\delta}(\tau)\hat{f}_{\sigma}^{\dagger}|0\rangle$$

Exact Green's function

$$G_{ij}(\tau) = \det\left[\left(\mathbf{P}^{l}(\tau)\right)^{\dagger}\mathbf{P}^{r}(\tau)\right]$$

Computation time  $\sim L^2 N^3$ 

3000 lattice sites, 300 particles

MR and A. Muramatsu, PRL 93, 230404 (2004); PRL 94, 240403 (2005).

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#### Finite temperature

One-particle density matrix (grand-canonical ensemble)

$$\rho_{ij} \equiv \frac{1}{Z} \operatorname{Tr} \left\{ \hat{b}_i^{\dagger} \hat{b}_j e^{-\frac{\hat{H}_{HCB} - \mu \sum_m \hat{b}_m^{\dagger} \hat{b}_m}{k_B T}} \right\}, \quad Z = \operatorname{Tr} \left\{ e^{-\frac{\hat{H}_{HCB} - \mu \sum_m \hat{b}_m^{\dagger} \hat{b}_m}{k_B T}} \right\}$$

Mapping to noninteracting fermions

$$\rho_{ij} = \frac{1}{Z} \operatorname{Tr} \left\{ \hat{f}_i^{\dagger} \hat{f}_j \prod_{k=1}^{j-1} e^{i\pi \hat{f}_k^{\dagger} \hat{f}_k} e^{-\frac{\hat{H}_F - \mu \sum_m \hat{f}_m^{\dagger} \hat{f}_m}{k_B T}} \prod_{l=1}^{i-1} e^{-i\pi \hat{f}_l^{\dagger} \hat{f}_l} \right\}$$

Exact one-particle density matrix

$$\rho_{ij} = \frac{1}{Z} \left\{ \det \left[ \mathbf{I} + (\mathbf{I} + \mathbf{A}) \mathbf{O}_1 \mathbf{U} e^{-(\mathbf{E} - \mu \mathbf{I})/k_B T} \mathbf{U}^{\dagger} \mathbf{O}_2 \right] - \det \left[ \mathbf{I} + \mathbf{O}_1 \mathbf{U} e^{-(\mathbf{E} - \mu \mathbf{I})/k_B T} \mathbf{U}^{\dagger} \mathbf{O}_2 \right] \right\}$$

Computation time  $\sim L^5$ : 1000 sites MR, PRA 72, 063607 (2005).

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#### Hard-core boson Hamiltonian in 1D ( $\lambda_c = 2J$ )

$$\hat{H} = -J \sum_{i=1}^{L-1} (\hat{b}_i^{\dagger} \hat{b}_{i+1} + \text{H.c.}) + \lambda \sum_i \cos(2\pi\sigma i + \delta) \, \hat{n}_i^b \quad \text{where} \quad \sigma = (\sqrt{5} - 1)/2$$

C. Gramsch and MR, arXiv:1206.3570.

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Dynamics after a quench from the ground state ( $\lambda_I = 0 \rightarrow \lambda_F \neq 0$ )



Marcos Rigol (Georgetown University) Quenches in dis

Quenches in disordered quantum systems

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C. Gramsch and MR, arXiv:1206.3570.

Dynamics after a quench from the ground state ( $\lambda_I \neq 0 \rightarrow \lambda_F < \lambda_I$ )



Marcos Rigol (Georgetown University)

Quenches in disordered quantum systems

#### Dynamics after a quench from the ground state



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#### Dynamics after a quench from the ground state



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## Scaling of $\delta O(\tau)$ after relaxation



• Delocalized phase ( $\lambda_F < 2$ ):  $\delta n(\infty) \sim \delta m(\infty) \propto 1/\sqrt{L}$ 

- Critical point ( $\lambda_F = 2$ ):  $\delta n(\infty) \propto 1/L^{1/4}$
- Localized phase ( $\lambda_F > 2$ ):  $\delta n(\infty) = \text{const}, \, \delta m(\infty) \propto 1/\sqrt{L}.$

• Recent analytic results (proof for a specific class of observables):

L. Campos Venuti and P. Zanardi, arXiv:1208.1121.

### Results after relaxation vs statistical mechanics



- Delocalized phase (λ<sub>F</sub> < 2): GGE describes one-body observables, GE fails.
- Localized phase ( $\lambda_F > 2$ ): GGE describes  $n_i$  but fails for  $m_k$ , GE fails.

## Results after relaxation vs statistical mechanics



- Delocalized phase ( $\lambda_F < 2$ ): GGE describes one-body observables, GE fails.
- Localized phase ( $\lambda_F > 2$ ): GGE describes  $n_i$  and  $m_k$  (?), GE fails.

## Scaling of $\Delta m$ with L



- Delocalized phase ( $\lambda_F < 2$ ): GGE describes one-body observables ( $\Delta m^{\text{GGE}} \propto 1/L$ ), GE fails.
- Critical point ( $\lambda_F = 2$ ): GGE describes one-body observables, GE fails.
- Localized phase ( $\lambda_F > 2$ ): GGE describes  $n_i$  but fails for  $m_k$ , GE fails.

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## Summary

#### Nonintegrable case

- Delocalized regime: Eigenstate thermalization holds and the system thermalizes. Power law relaxation?
- Localized regime: Eigenstate thermalization fails and the system does not thermalize

#### Integrable case

- Delocalized regime:  $n_i$  and  $m_k$  equilibrate and they are described by GGE, despite the lack of translational invariance! Power law relaxation?
- Critical point: Slower relaxation dynamics. GGE describes observables after relaxation
- Localized regime:  $m_k$  equilibrates but GGE fails to describe it after relaxation

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#### Quench protocol

- Start from an eigenstate of  $\hat{H}$  ( $|\psi_0\rangle$ ) in a certain disorder realization.
- Evolve under another disorder realization with the same  $\alpha$ . ٢
- $E = \langle \psi_0 | \hat{H}_{fin} | \psi_0 \rangle$  is the energy of a thermal state with temperature T = 10.
- ۲ Everything is computed by means of full exact diagonalization.

Time evolution  $[\Delta O(t) = \sum_{k} |O(k, t) - O_{\text{diag}}(k)| / \sum_{k} O_{\text{diag}}(k)]$ 



## Scaling of $\Delta n$ with L



 In all regimes: the differences go to zero as the accuracy in the calculation of the time average is increased.

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