

Electrical Control of the Kondo Effect at the Edge of a Quantum Spin Hall System

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Outline

Quantum spin Hall effect... some basics

At the edge: A new kind of electron liquid

Adding a magnetic impurity...

... and a Rashba spin-orbit interaction

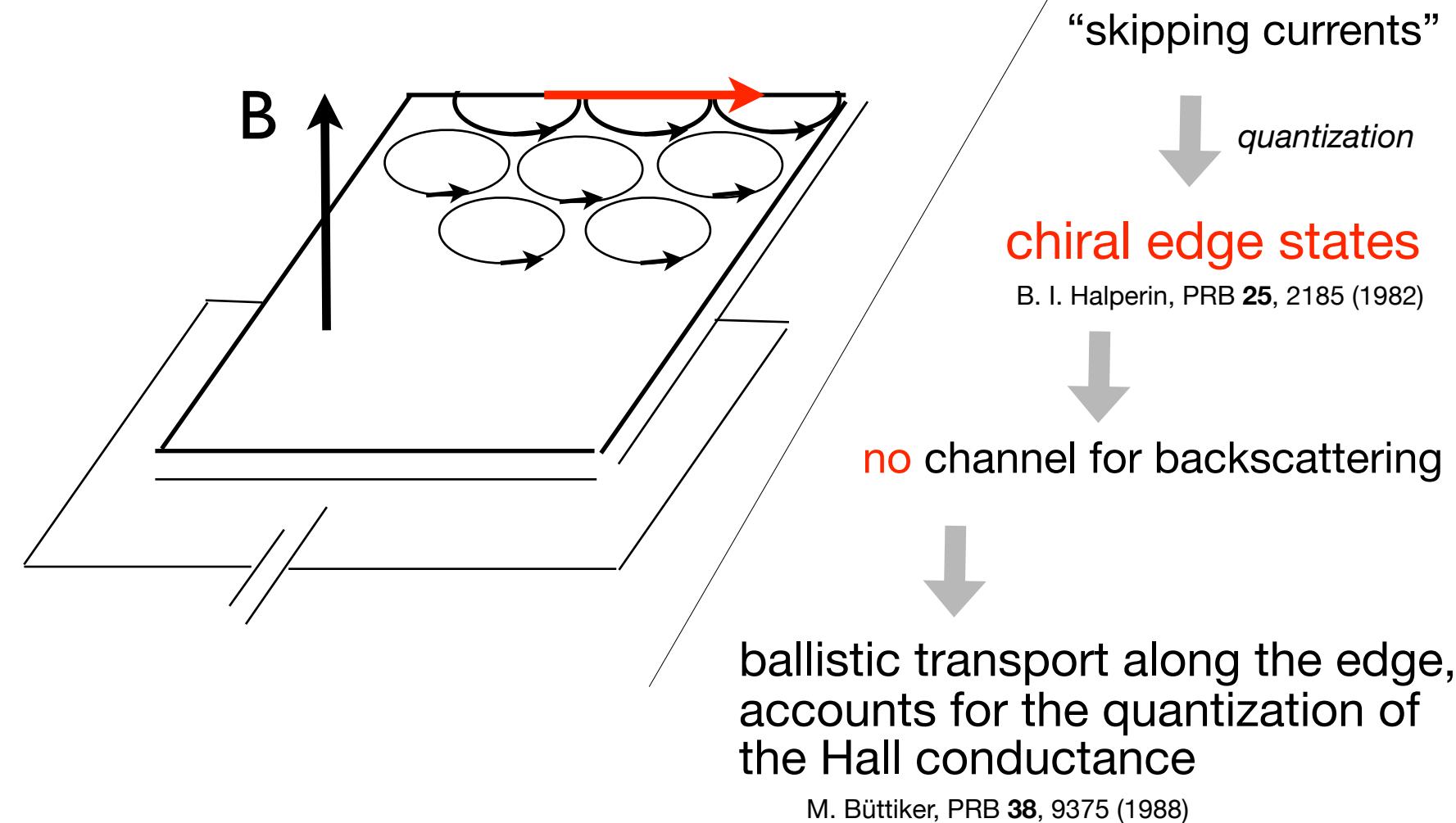
Electrical control of the Kondo effect!

Summary and outlook

Quantum spin Hall effect... some basics

~~Quantum spin~~ Hall effect

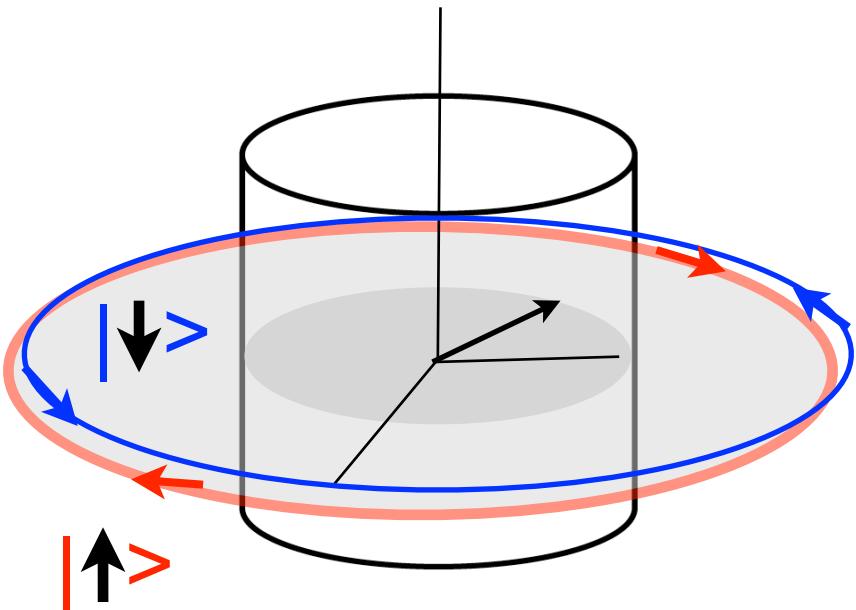
Quantum Hall effect



Can a system be stable against local perturbations
without breaking time-reversal invariance?

Consider a Gedanken experiment...

B. A. Bernevig and S.-C. Zhang, PRL **96**, 106802 (2006)

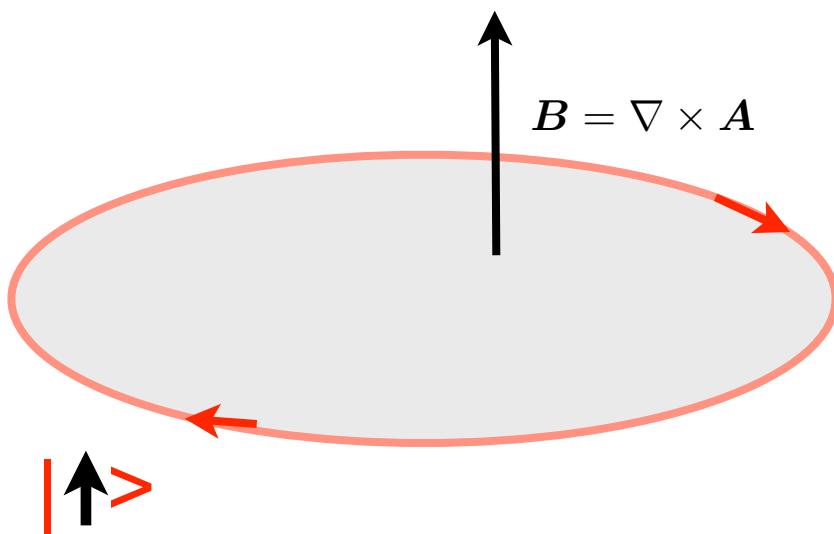


uniformly charged cylinder with electric field

$$\mathbf{E} = E(x, y, 0)$$

spin-orbit interaction

$$(\mathbf{E} \times \mathbf{k}) \cdot \boldsymbol{\sigma} = E\sigma^z(k_yx - k_xy)$$



cf. with the IQHE in a symmetric gauge

$$\mathbf{A} = \frac{B}{2}(y, -x, 0)$$

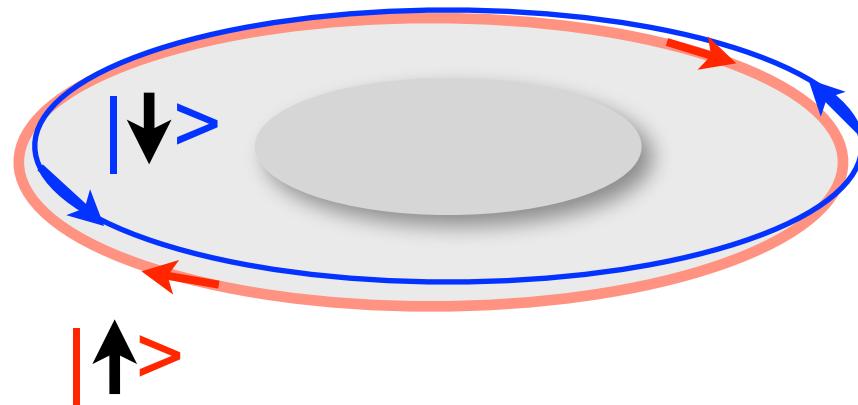
Lorentz force

$$\mathbf{A} \cdot \mathbf{k} \sim eB(k_yx - k_xy)$$

Quantum spin Hall (QSH) system

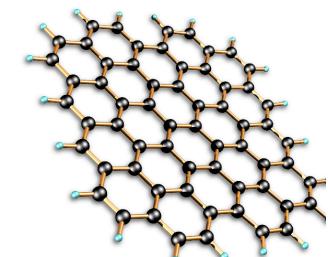
single Kramers pair

Two copies of a IQH system, bulk insulator with **helical edge states**

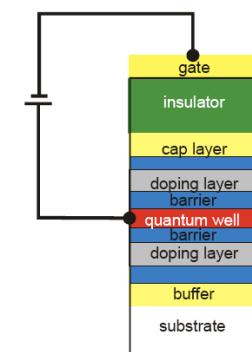


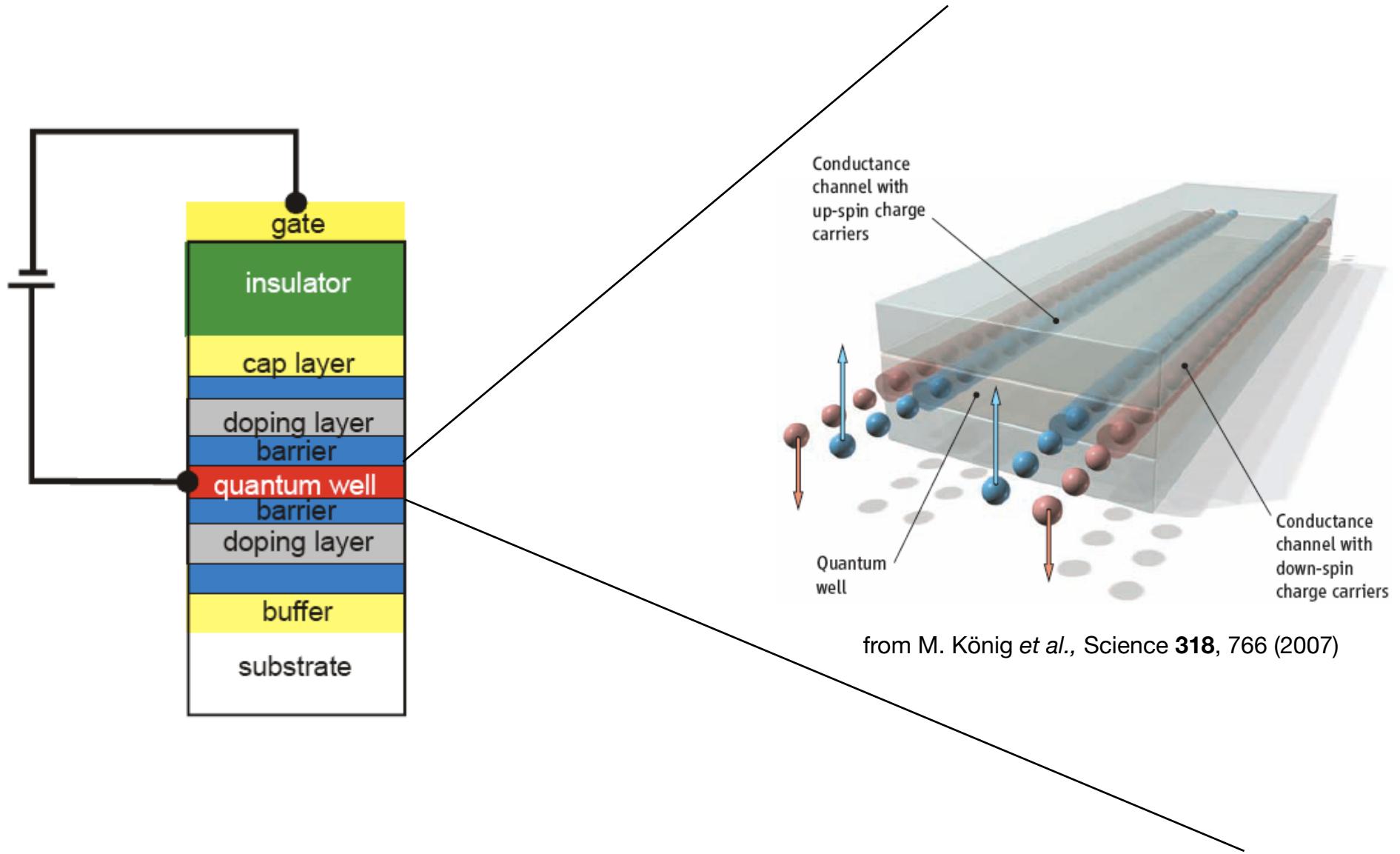
First proposed by Kane and Mele for graphene (2005)

too weak spin-orbit interaction, doesn't quite work...



Bernevig et al. proposal for HgTe quantum wells (2006)
Experimental observation by König et al. (2007)



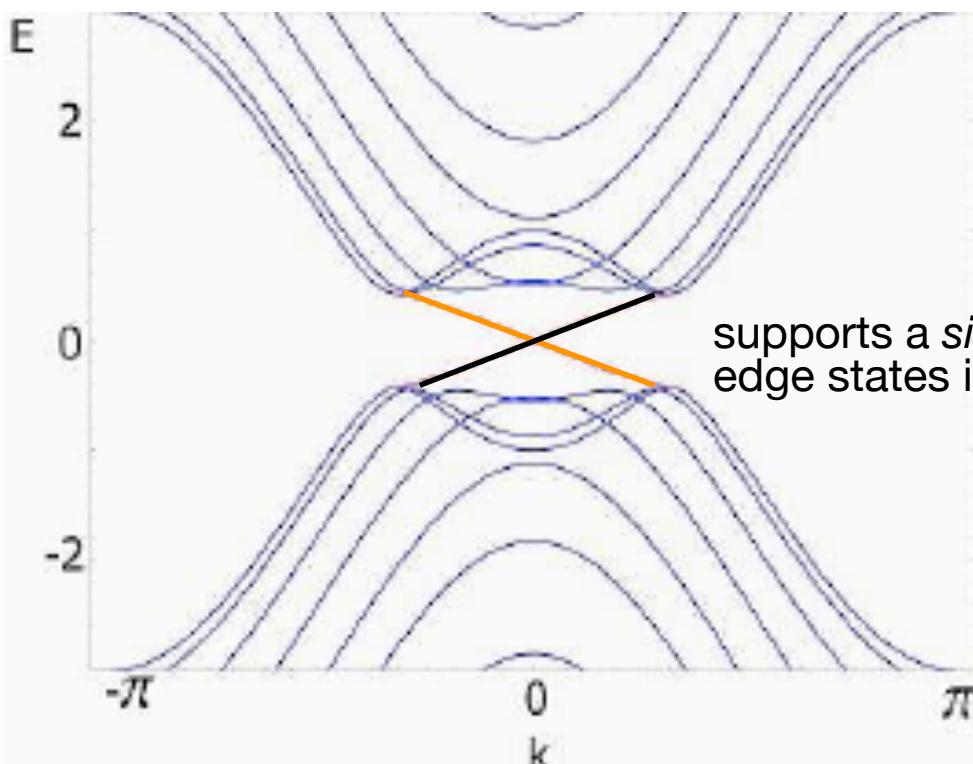


Bernevig et al. proposal for HgTe quantum wells (2006)
 Experimental observation by König et al. (2007)

Are the helical edge states stable against local perturbations?

Yes! As long as the perturbations are time-reversal invariant! Look at the band structure of an HgTe quantum well...

strong spin-orbit interactions in atomic p-orbitals create an *inverted* band gap (p-band on top of s-band)



supports a *single* Kramers pair of helical edge states inside the inverted gap

Kramers degeneracy at $k=0$ protects the stability of the edge states



ballistic transport

$$G = \frac{2e^2}{h}$$

4-terminal measurement,
equilibration in contacts

At the edge: A new kind of electron liquid

of Kramers pair in a **nontrivial** (trivial) helical liquid

$$N_K = \begin{cases} 1 \bmod 2 & \\ = 0 \bmod 2 & \end{cases}$$

$\nu = 0, 1$ is a "Z₂" **topological invariant** and can be calculated from the band structure of the bulk ("bulk-edge correspondence")

L. Fu and C. L. Kane, PRB **76**, 045302 (2007)

2D topological insulator
(a.k.a. quantum spin Hall system)

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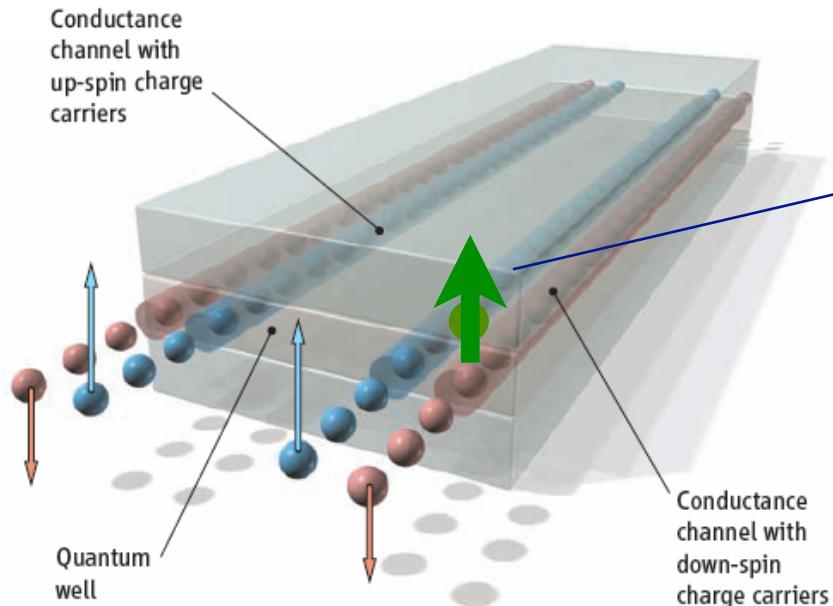
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What if time-reversal symmetry is broken...?

... for example, by the presence of a magnetic impurity?



from M. König *et al.*, Science **318**, 766 (2007)

case study:
 Mn^{2+}

large and positive single-ion anisotropy $(S^z)^2$

$$S = 5/2 \longrightarrow S_{\text{eff}} = 1/2 \quad \text{low } T$$

anisotropic spin exchange with the edge electrons

R. Zitko *et al.*, PRB **78**, 224404 (2008)

$$H_K = \Psi^\dagger(0) [J_\perp(\sigma^+ S_{\text{eff}}^- + \sigma^- S_{\text{eff}}^+) + J_z \sigma^z S_{\text{eff}}^z] \Psi(0)$$

$$\Psi^T = (\psi_\uparrow, \psi_\downarrow)$$

Adding a magnetic impurity...

The Kondo interaction is time-reversal invariant!
Could it still cause a *spontaneous* breaking of time
reversal invariance and collapse the QSH state?

Adding a magnetic impurity...

Recall the Kondo effect

One-loop RG equations:

P. W. Anderson, J. Phys. C **3**, 2436 (1970)

$$\begin{aligned}\frac{\partial J_{\perp}}{\partial D} &= -\nu J_{\perp} J_z + \dots \\ \frac{\partial J_z}{\partial D} &= -\nu J_{\perp}^2 + \dots\end{aligned}$$

strong-coupling physics for $T \ll T_K$

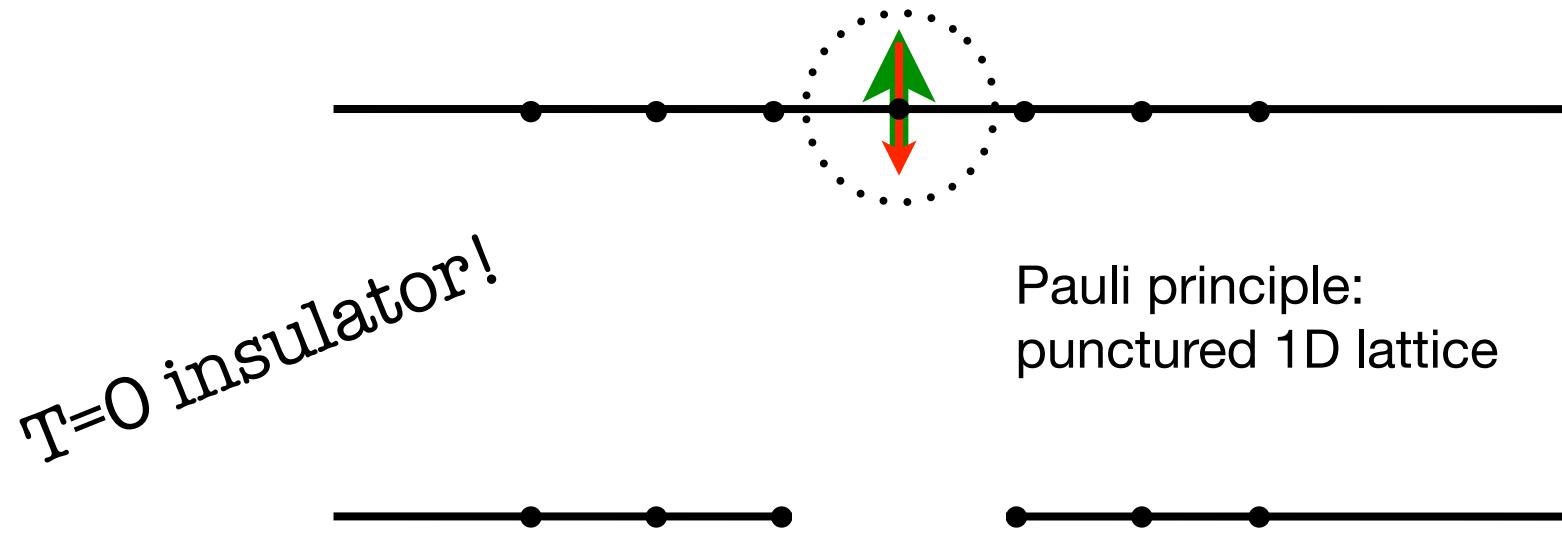
$$T_K = D_0 \exp(-\text{const.}/J_0)$$

$$J_0 \equiv \max(J_{\perp}, J_z)_{D=D_0}$$

formation of impurity-electron singlet (**"Kondo screening"**)



Adding a magnetic impurity...



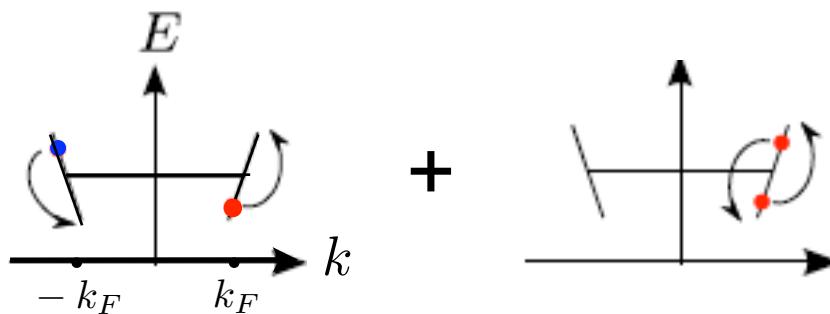
Adding a magnetic impurity...

Does this really happen for the helical liquid?

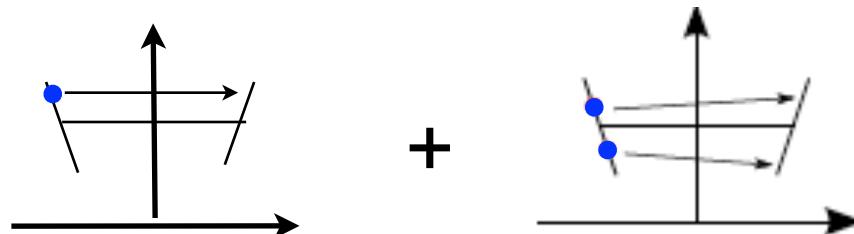
To find out, first add e-e interactions.... important in 1D!



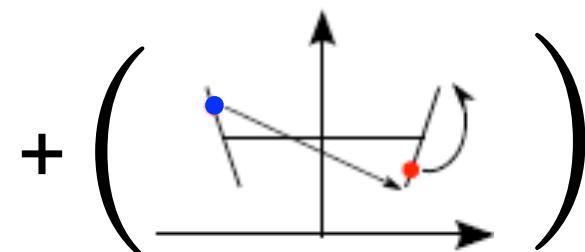
bulk



local (at impurity site)



from Kondo



$\neq 0$ if U(1) spin symmetry is broken

T. L. Schmidt *et al.*, PRL **108**, 156402 (2012)

Adding a magnetic impurity...

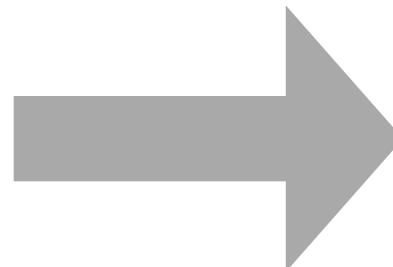
Adding the kinetic energy and bosonizing...

$$H = (v/2) \int dx ((\partial_x \varphi)^2 + (\partial_x \vartheta)^2) + \frac{A}{\kappa} \cos(\sqrt{4\pi K} \varphi) + \frac{B}{\kappa} \sin(\sqrt{4\pi K} \varphi) + \frac{C}{\sqrt{K}} \partial_x \vartheta + \frac{g_U}{2(\pi\kappa)^2} \cos(\sqrt{16\pi K} \varphi)$$

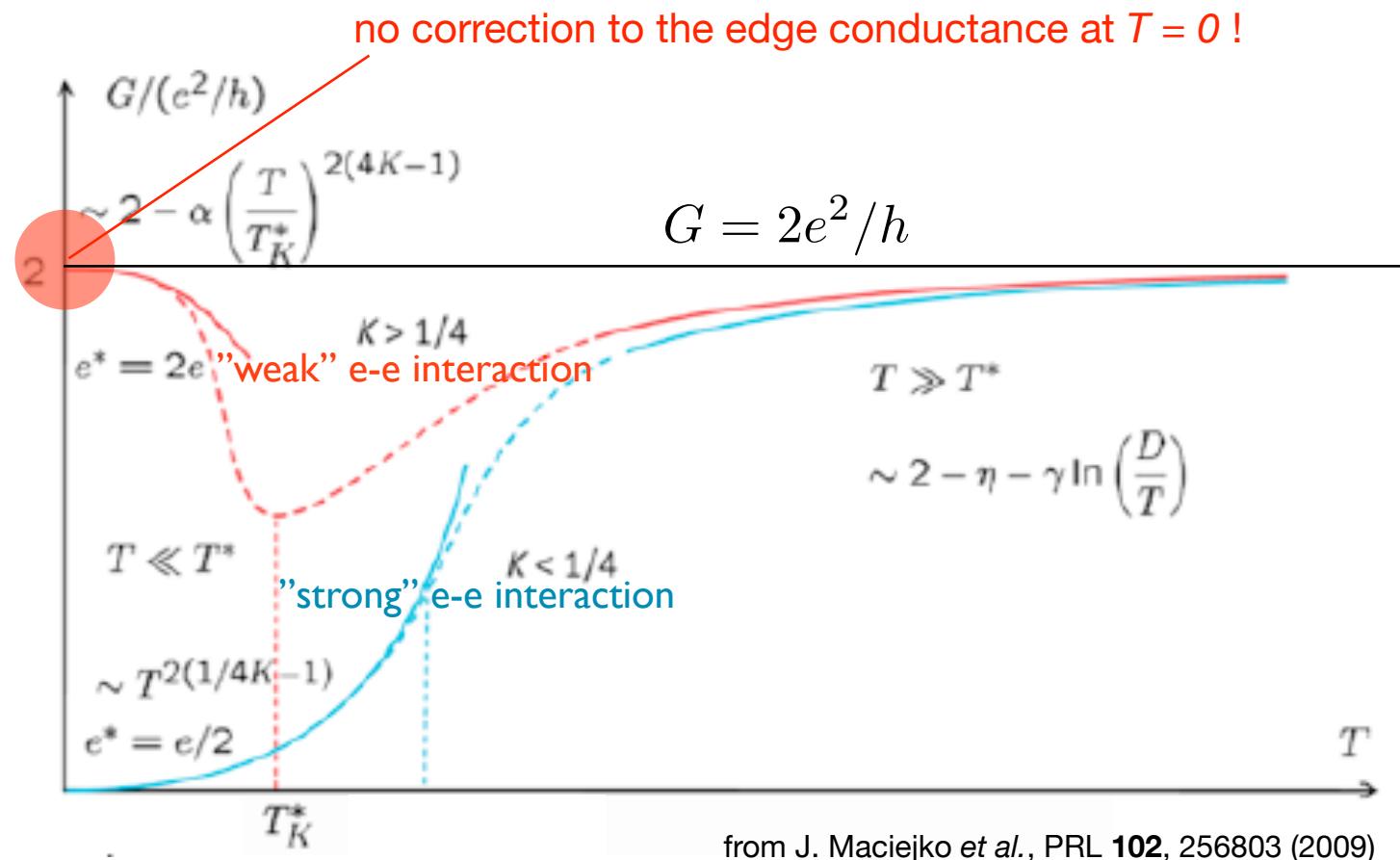
Annotations:

- "Luttinger liquid parameter" (blue oval)
- functions of Kondo couplings (orange oval)

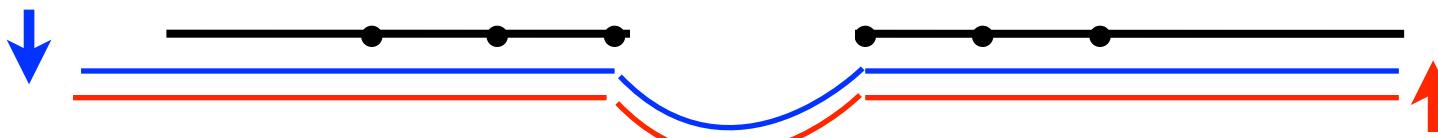
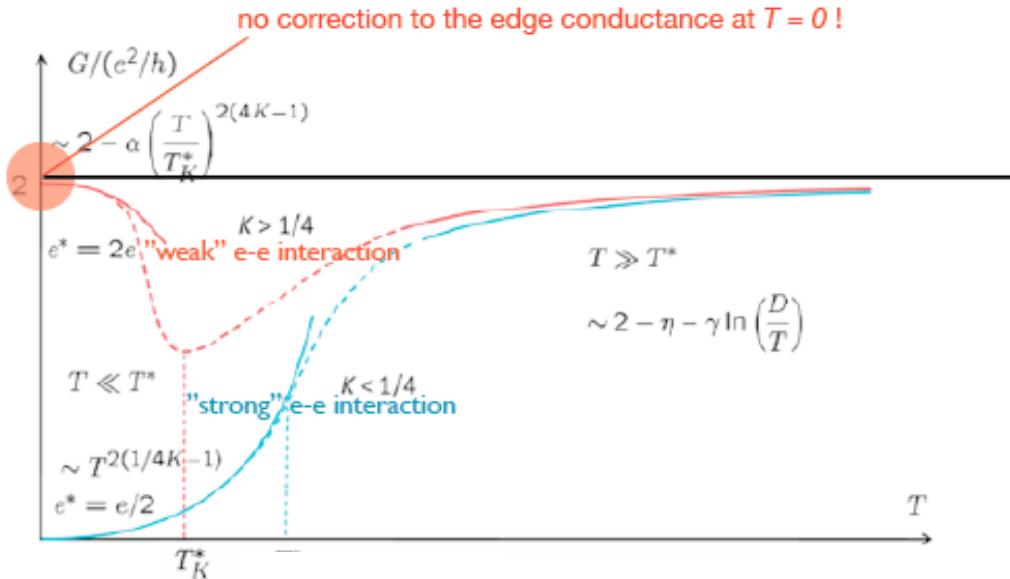
...perturbative RG and linear response



Adding a magnetic impurity...



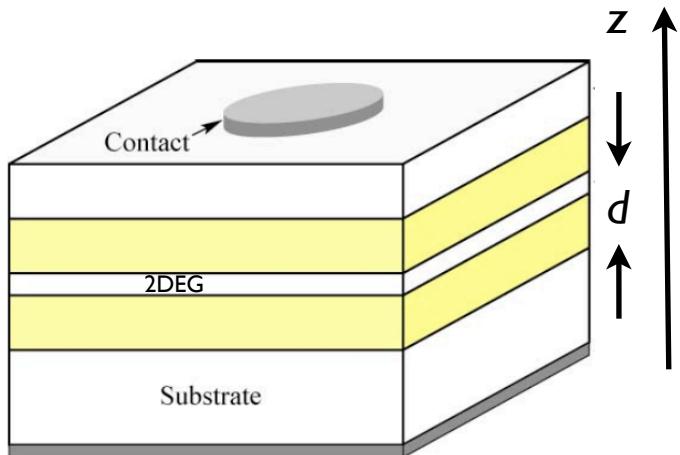
Adding a magnetic impurity...



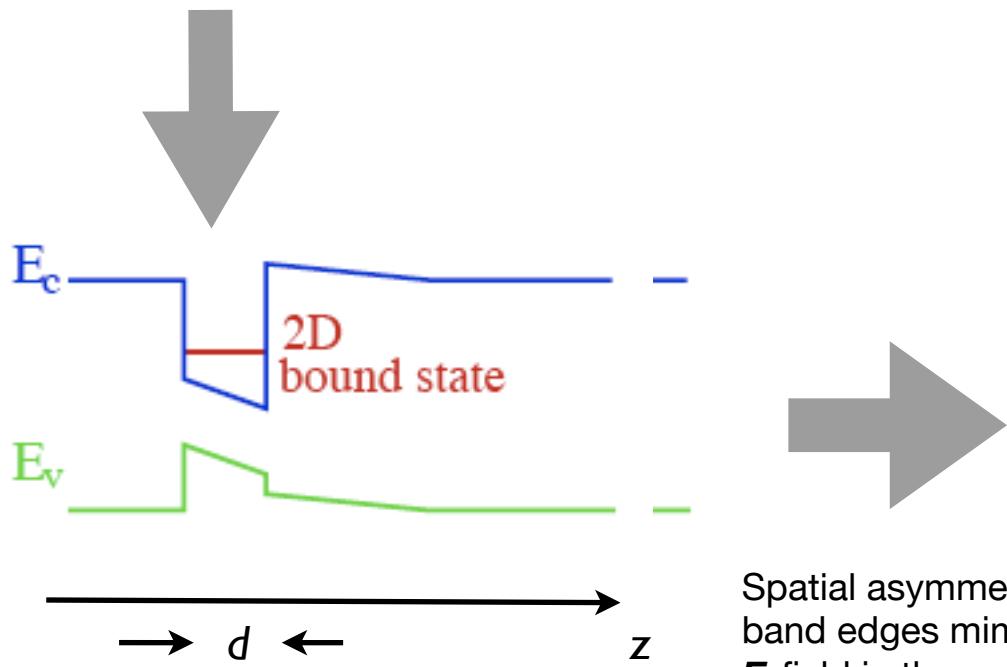
Due to its topological nature, the QSH state follows the new shape of the edge.
Weak coupling QSH states are robust against local breaking of time-reversal symmetry!

But... one important thing is missing from the analysis!

Rashba spin-orbit interaction!



semiconductor heterostructure

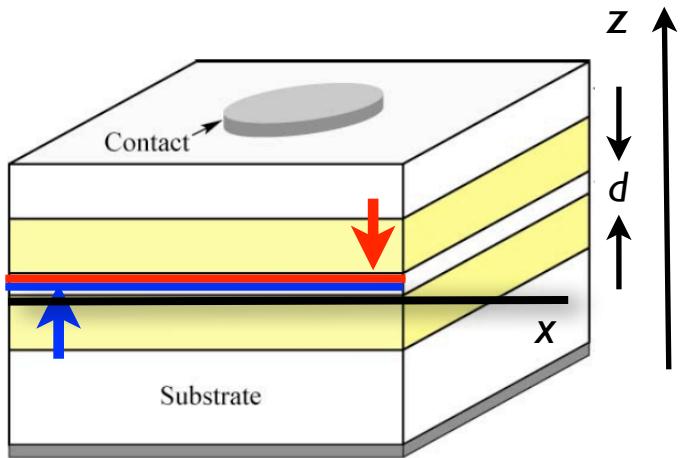


$$H_R = \alpha(k_x \sigma^y - k_y \sigma^x)$$

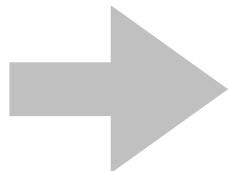
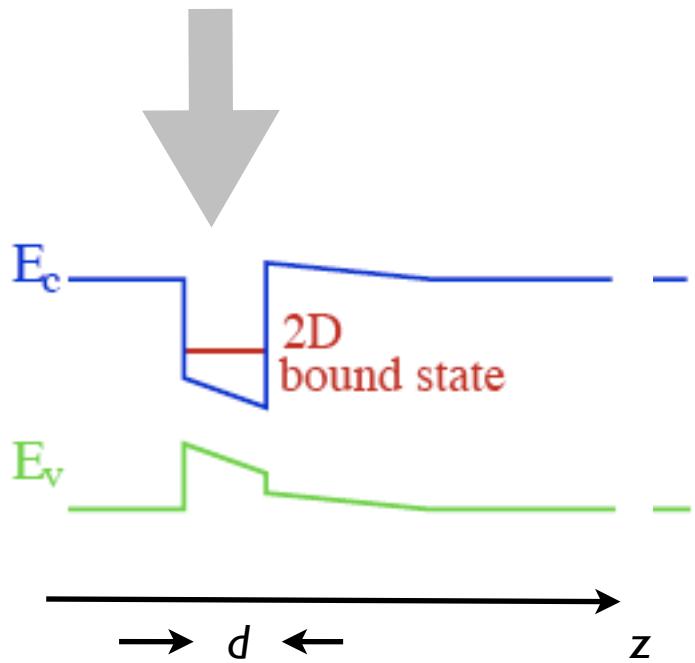
Yu. A. Bychkov and E. I. Rashba,
J. Phys. C **17**, 6039 (1984)

Spatial asymmetry of
band edges mimics an
 E -field in the z-direction

Rashba spin-orbit interaction!



semiconductor heterostructure



$$H_R = \alpha k_x \sigma^y$$

doesn't conserve spin

A diagram showing the effect of Rashba spin-orbit interaction. A horizontal axis is labeled x . Two parallel horizontal lines represent energy levels. A blue arrow points upwards from the lower line, and a red arrow points downwards from the upper line. The text 'doesn't conserve spin' is written diagonally across the lines.

Adding the Rashba interaction...

... breaks the locking of spin to momentum. However, there is still a single Kramers pair on the QSH edge, and this is all that matters!

C. L. Kane and E. J. Mele, PRL 95, 226801 (2005)

$$H = v_F \int dx \Psi^\dagger(x) [-i\sigma^z \partial_x] \Psi(x) + \alpha \int dx \Psi^\dagger(x) [-i\sigma^y \partial_x] \Psi(x)$$

$$\Psi' = e^{-i\sigma^x \theta/2} \Psi$$

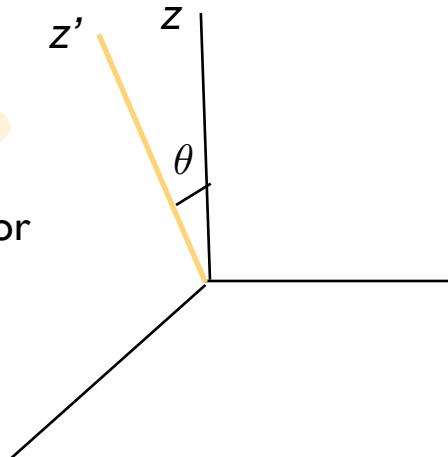


$$\cos \theta = v_F/v_\alpha \quad v_\alpha = \sqrt{v_F^2 + \alpha^2}$$

$$H' = v_\alpha \int dx \Psi'^\dagger(x) \left[-i\sigma^z \partial_x \right] \Psi'(x)$$

$$\Psi'^T = (\psi_{\uparrow'}, \psi_{\downarrow'})$$

The "Rashba-rotated" spinor still defines a Kramers pair



Adding the Rashba interaction...

e-e interaction is invariant under $\Psi \rightarrow \Psi'$

Kondo interaction $H_K = \Psi^\dagger(0) [J_\perp(\sigma^+ S_{\text{eff}}^- + \sigma^- S_{\text{eff}}^+) + J_z \sigma^z S_{\text{eff}}^z] \Psi(0)$



$$\Psi' = e^{-i\sigma^x \theta/2} \Psi$$

$$S' = e^{-iS^x \theta/2} S e^{iS^x \theta/2}$$

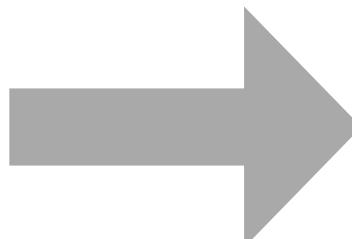
$$H'_K = \Psi'^\dagger(0) [J_x \sigma^x S^x + J'_y \sigma^{y'} S^{y'} + J'_z \sigma^{z'} S^{z'} + J_{\text{NC}} (\sigma^{y'} S^{z'} + \sigma^{z'} S^{y'})] \Psi'(0)$$

XYZ Kondo

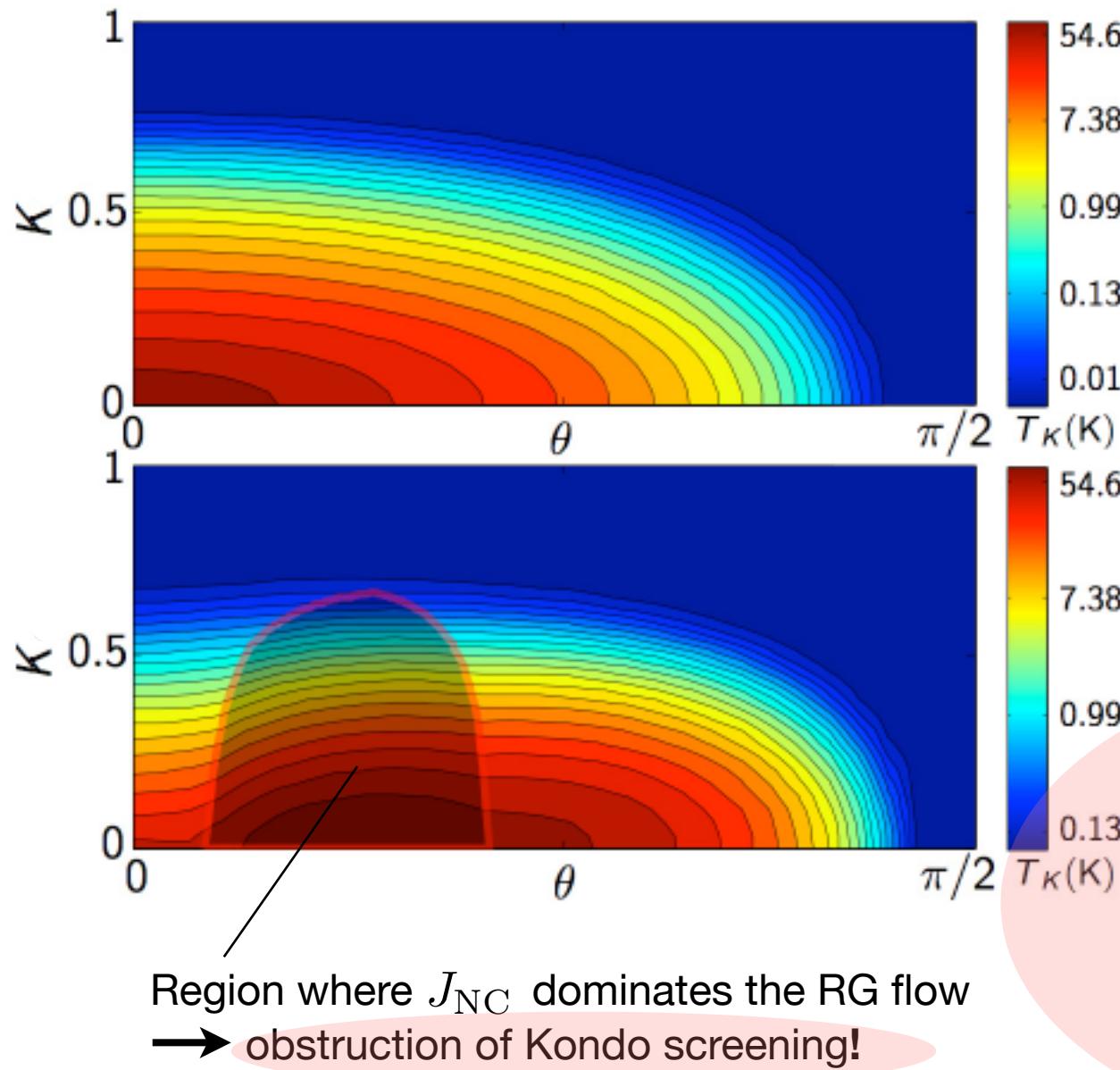
Non-Collinear term

depend on the Rashba coupling α
controllable by a gate voltage

...bosonization and perturbative RG



Electrical control of the Kondo temperature via the "Rashba angle" $\theta \sim$ gate voltage



easy-plane Kondo

$J_x = J_y = 20$ meV, $J_z = 10$ meV

easy-axis Kondo

$J_x = J_y = 5$ meV, $J_z = 50$ meV

challenges the Meir-Wingreen conjecture that the Kondo effect is blind to time-reversal invariant perturbations
Y. Meir and N.S. Wingreen, PRB 50, 4947 (1994)

Low-temperature transport, $T \ll T_K$ (away from the "dome")

"weak" e-e interaction $K > 1/4$

$$T = 0$$
$$G = \frac{2e^2}{h}$$

Low-temperature transport, $T \ll T_K$ (away from the "dome")

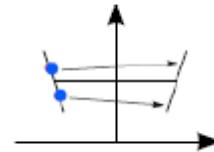
"weak" e-e interaction

$$G = \frac{2e^2}{h} - \delta G$$

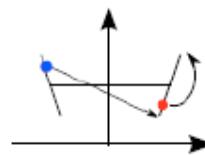
$1/4 < K < 2/3$
 $K > 2/3$

$$\sim (T/T_K)^{8K-2}$$

$$\sim (T/T_K)^{2K+2}$$



signature of
Rashba!

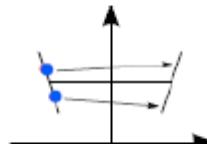


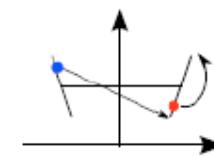
Low-temperature transport, $T \ll T_K$ (away from the "dome")

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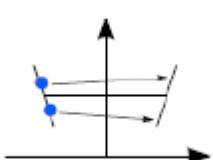
$$G = \frac{2e^2}{h} - \delta G$$

$1/4 < K < 2/3$ $K > 2/3$

$\sim (T/T_K)^{8K-2}$ 

$\sim (T/T_K)^{2K+2}$ 
signature of Rashba!

"strong" e-e interaction $K < 1/4$

$$T = 0$$
$$G = 0$$


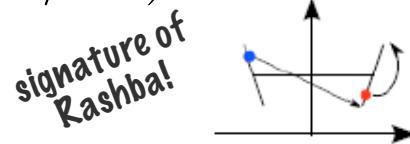
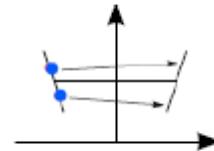
Low-temperature transport, $T \ll T_K$ (away from the "dome")

"weak" e-e interaction

$$G = \frac{2e^2}{h} - \delta G$$

$1/4 < K < 2/3$
 $K > 2/3$

$\sim (T/T_K)^{8K-2}$
 $\sim (T/T_K)^{2K+2}$



"strong" e-e interaction $K < 1/4$

$$G \sim (T/T_K)^{2(1/4K-1)} \quad \text{from instanton processes}$$

blind to
Rashba

J. Maciejko *et al.*, PRL **102**, 256803 (2009)

"High-temperature" transport, $T \gg T_K$

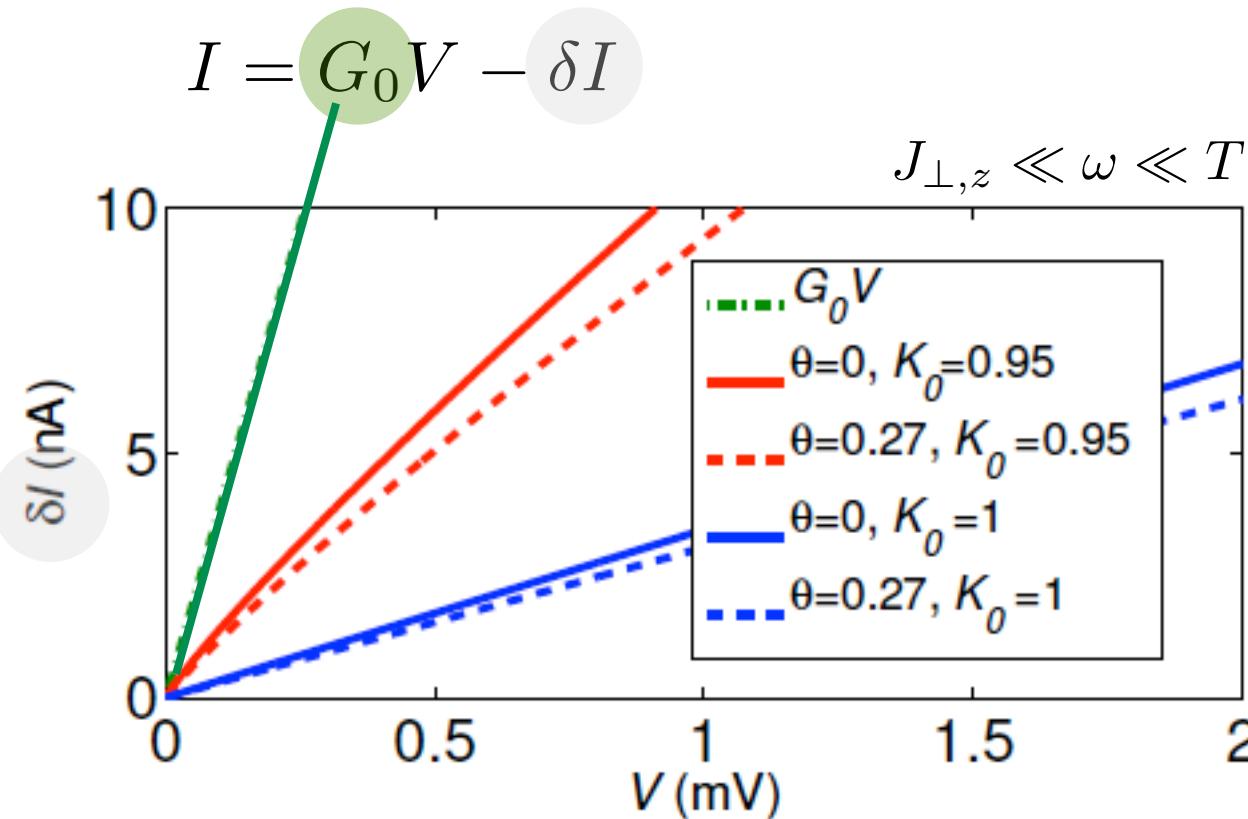
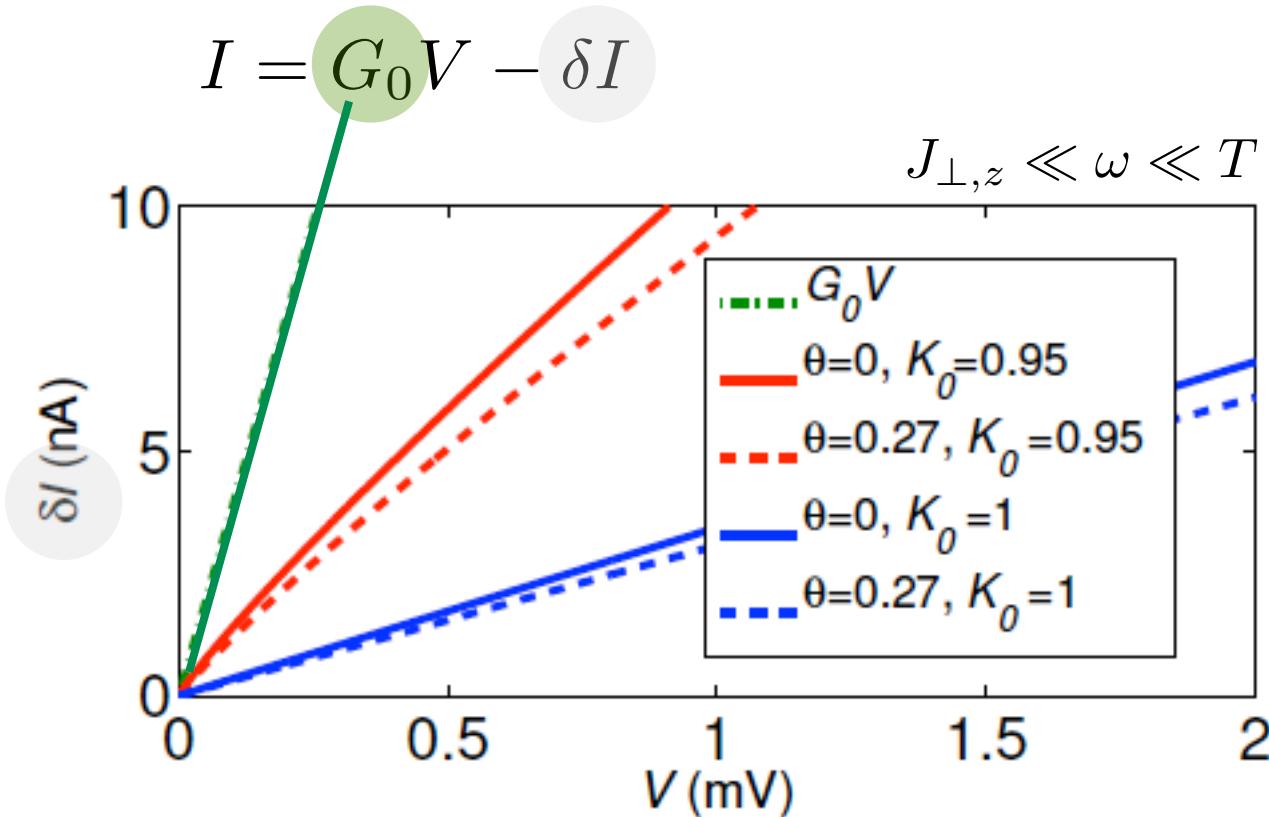


FIG. 2: The RG-improved current correction (12) at $T = 30$ mK as a function of applied voltage, for different values of K_0 and θ . The dashed lines represent $\theta \approx 0.27$, corresponding to $\hbar\alpha = 10^{-10}$ eVm. Other parameters are defined in the text. The QSH edge current $G_0 V$ is plotted as a reference.

"High-temperature" transport, $T \gg T_K$



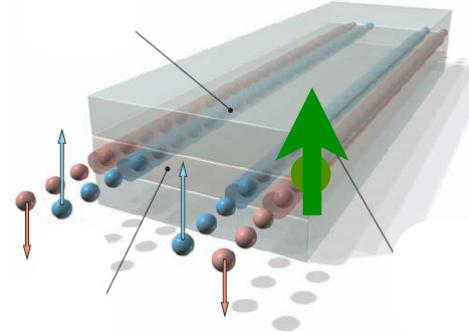
conductance correction in dc limit:

$$\delta G = -\frac{e^2 \cos^2 \theta}{2T} \left[\frac{4\gamma_0\gamma'_0 + (\gamma_0 + \gamma'_0)(\gamma_0^E + \tilde{\gamma}_0^E) + \tilde{\gamma}_0^E \gamma_0^E}{\gamma_0 + \gamma'_0 + \tilde{\gamma}_0^E} \right]$$

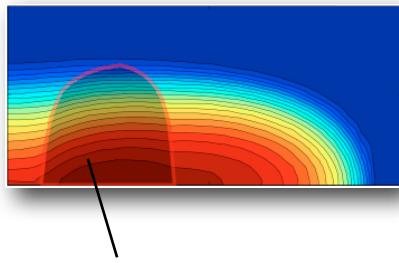
$$\gamma_0 \sim (J_x + J'_y)^2 T^{2(\sqrt{K} - \lambda/2)^2 - 1}, \gamma'_0 \sim (J_x - J'_y)^2 T^{2(\sqrt{K} + \lambda/2)^2 - 1}, \gamma_0^E \sim J_{NC}^2 T^{2K-1}, \tilde{\gamma}_0^E \sim J_{NC}^2 T$$

Summary and outlook

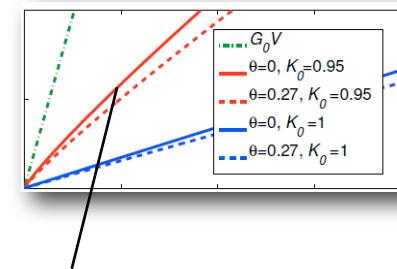
E. Eriksson et al., PRB 86, 161103(R) (2012)



Magnetic impurity in a helical edge liquid:
Rashba coupling allows electrical control of
Kondo temperature and **IV-characteristics**



blocking of Kondo screening!?



Rashba-induced
impurity correction
accessible in experiment?

Interesting open problems:

Two-loop RG, thermal transport, effects from Dresselhaus spin-orbit interactions, Kondo lattice in a helical liquid, higher-spin impurities... and more!