

# *Topological phases driven by electron interactions in certain 2D lattices*

CFIF

CENTRO DE FÍSICA DAS  
INTERAÇÕES FUNDAMENTAIS

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*Correlations and coherence in  
quantum systems*

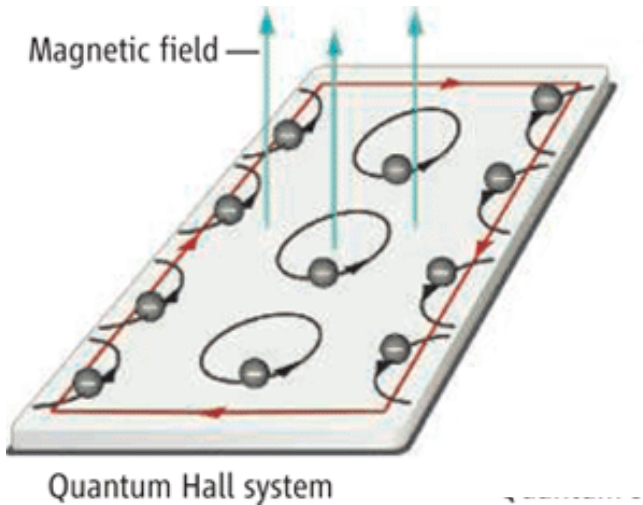
*Évora, Portugal, 8 – 12 October, 2012*

# Content

- *Motivation*
- *From trivial to non-trivial through interactions*
  - Topological Fermi liquids from Coulomb interactions in the doped honeycomb lattice  
Adolfo Grushin, Belén Valenzuela, María Vozmediano, Alberto Cortijo, Fernando de Juan  
Phys. Rev. Lett. **107**, 106402 (2011)
- *From non-trivial to non-trivial through interactions*
  - Change of an insulator's topological properties by a Hubbard interaction  
Miguel Araújo, Pedro Sacramento  
ArXiv:1208.1289 (2012)
- *Conclusions*

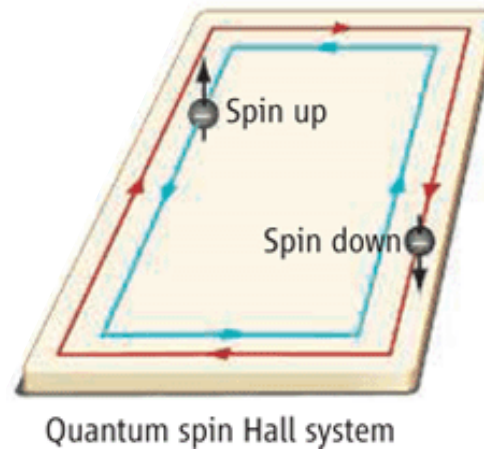
# Motivation

## Quantum Hall insulators

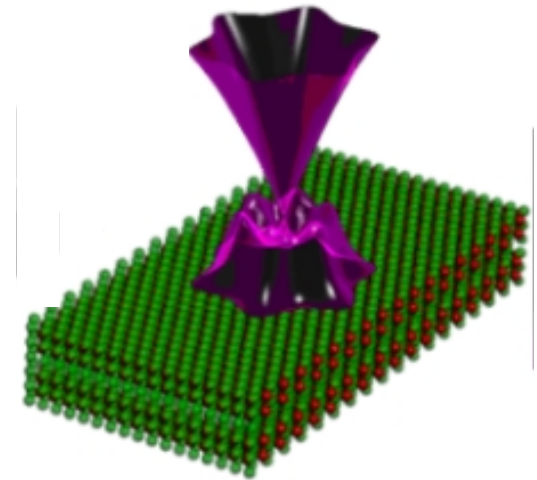


## Topological insulators

2D



3D



- *Well understood in the non-interacting picture*

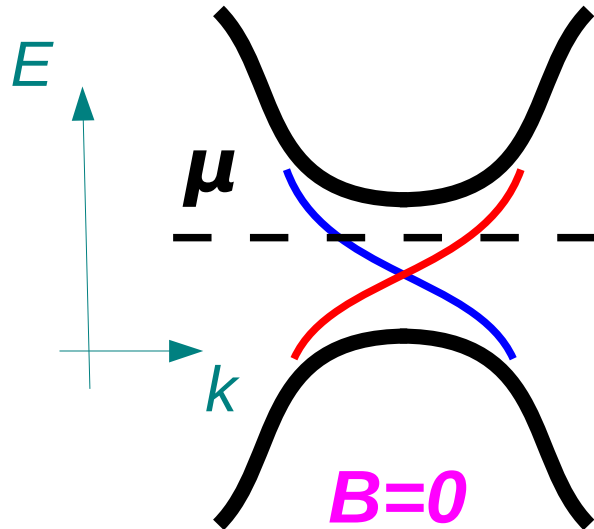
- *What about correlations?*

- From non-trivial to trivial
- **From trivial to non-trivial**
- **From non-trivial to non-trivial**

Pesin & Balents, Nat. Phys.(2010)  
Varney, Sun, Rigol, Galitski PRB (2010)  
Varney, Sun, Rigol, Galitski PRBr (2011)  
Rachel & Le Hur, PRB (2010)  
Zheng, Zhang, Wu, PRB (2011)  
Hohenadler, Lang, Assaad, PRL (2011)  
Yu, Xie, Li, PRL (2011)  
Wu, Rachel, Liu, Le Hur, PRB (2012)  
Hohenadler, Meng, Lang, Wessel,  
Muramatsu, Assaad, PRB (2012)

# Topological phases in 2D (no spin)

- **Quantum Anomalous Hall phases**
  - Like QH insulators without magnetic field



## Key ingredients:

- Time reversal symmetry ( $\mathcal{T}$ ) broken
- Non-trivial band topology

**Quantitatively:**

$$\sigma_0^{ab}(\mu) = \frac{e^2}{\hbar} \frac{1}{\Omega N} \sum_{kn} \mathcal{F}_n^{ab} n_n^0(\mathbf{k}, \mu)$$

→ Fermi-Dirac  
→ Berry curvature

$$\mathcal{F}_n^{ab}(\mathbf{k}) = \nabla_k^a \mathcal{A}_n^b(\mathbf{k}) - \nabla_k^b \mathcal{A}_n^a(\mathbf{k})$$

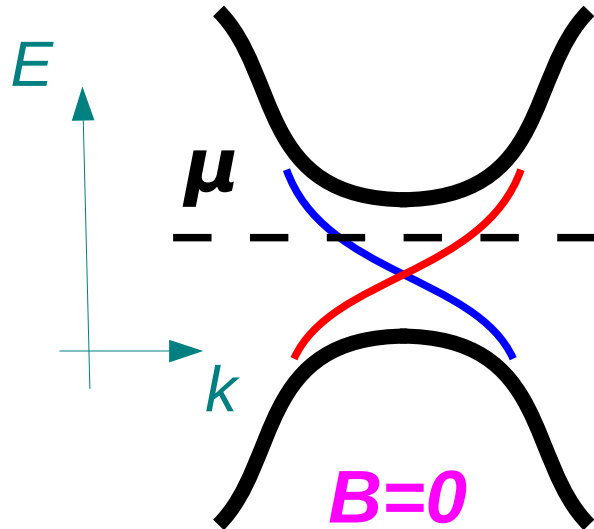
$$\mathcal{A}_n^a(\mathbf{k}) = -i \langle \psi_n(\mathbf{k}) | \nabla_k^a \psi_n(\mathbf{k}) \rangle$$

→ Berry connection

→ Bloch wave function

# Topological phases in 2D (no spin)

- **Quantum Anomalous Hall phases**
  - Like QH insulators without magnetic field



## Key ingredients:

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- Non-trivial band topology

**Quantitatively:**

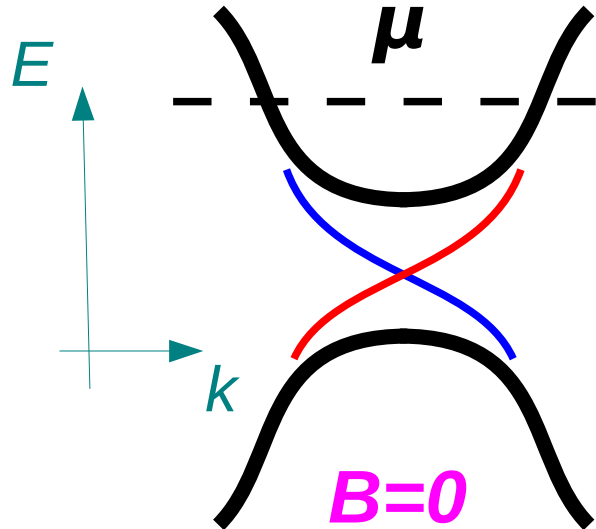
$$\sigma_0^{ab}(\mu) = \frac{e^2}{h} \sum_n C_n$$

Chern  
number

# Topological phases in 2D (no spin)

- **Anomalous Hall (metallic) phases**

- Classical Hall effect without magnetic field



## Key ingredients:

- Time reversal symmetry ( $\mathcal{T}$ ) broken
- Non-trivial band topology

**Quantitatively:**

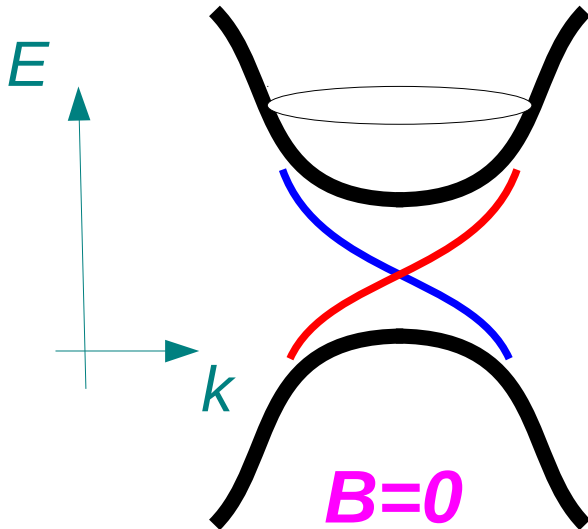
$$\sigma_0^{ab}(\mu) = \frac{e^2}{\hbar} \frac{1}{\Omega N} \sum_{kn} \mathcal{F}_n^{ab} n_n^0(\mathbf{k}, \mu)$$

Non quantized part:  $\sigma_0^{ab}(\mu) = \frac{e^2}{h} \nu_n$

# Topological phases in 2D (no spin)

- **Anomalous Hall (metallic) phases**

- Classical Hall effect without magnetic field



## Key ingredients:

- Time reversal symmetry ( $\mathcal{T}$ ) broken
- Non-trivial band topology

**Quantitatively:**

$$\nu_n = \frac{1}{2\pi} \oint \mathcal{A}_n^a(\mathbf{k}_F) d\mathbf{k}_{Fa} = \frac{\phi_F}{2\pi}$$

Haldane  
PRL, (2004)

**Topological Fermi liquid**

# How to break $\mathcal{T}$ ?

- *Historical example*
  - Add imaginary hoppings in a clever way

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PHYSICAL REVIEW LETTERS

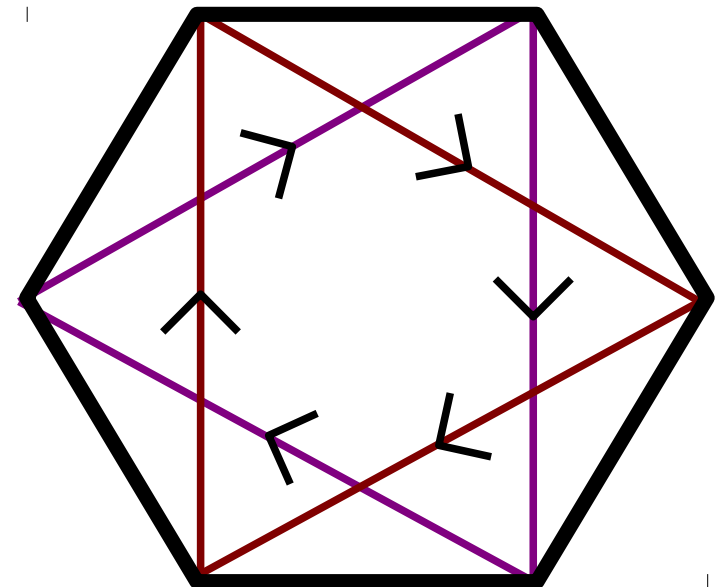
31 OCTOBER 1988

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**Model for a Quantum Hall Effect without Landau Levels:  
Condensed-Matter Realization of the “Parity Anomaly”**

F. D. M. Haldane

- **QAH phase at half filling**
- **Anomalous Hall phase when doped**



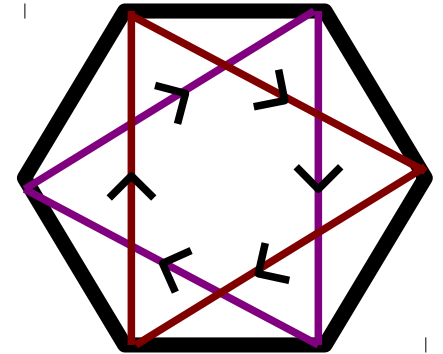


# Alternative route: Interactions

- **NN + NNN interactions**

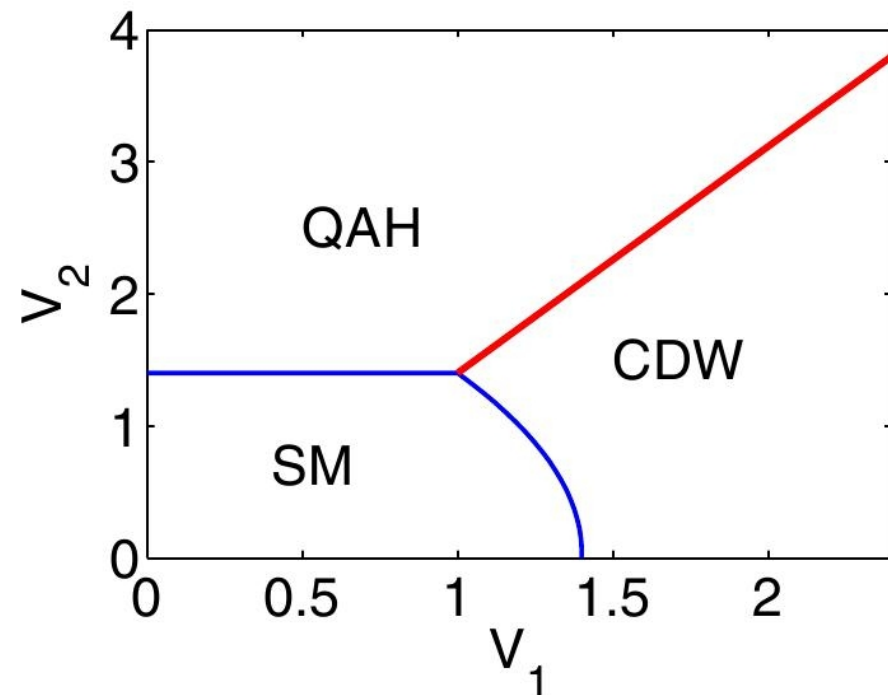
- Raghu *et al.* PRL, (2008)

$$\mathcal{H} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V_1 \sum_{\langle i,j \rangle} n_i n_j + V_2 \sum_{\langle\langle i,j \rangle\rangle} n_i n_j$$



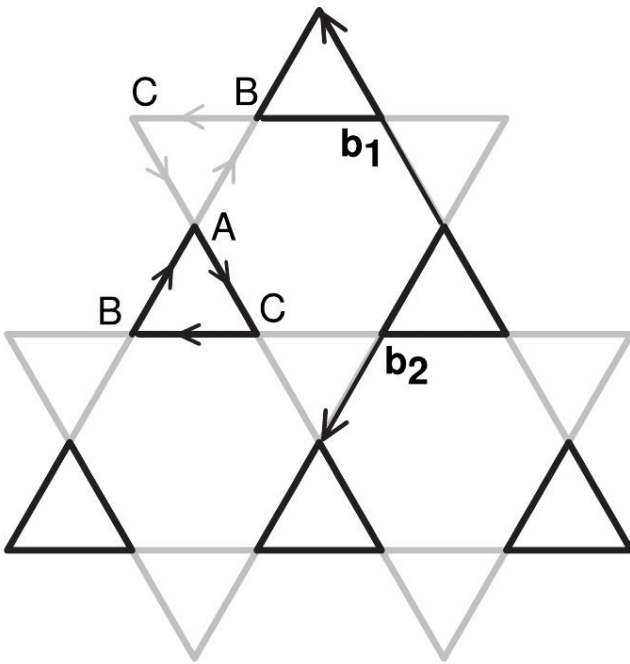
- Mean field
- Half filling

$$V_2 > V_1$$

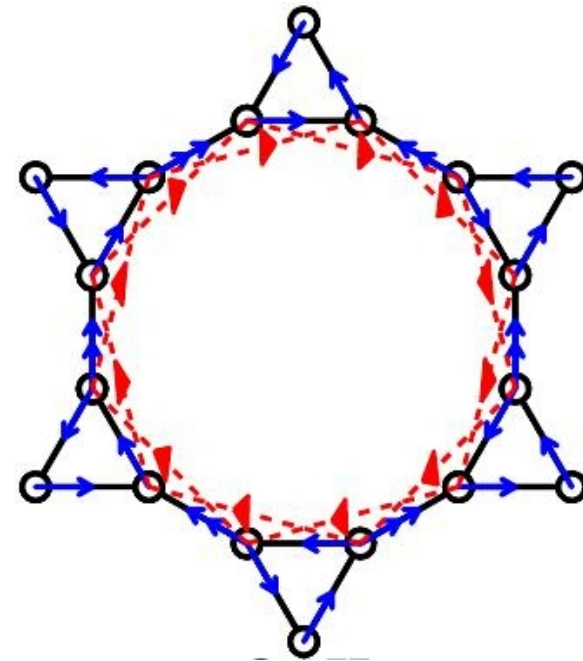


# Interactions and "sophisticated" lattices

- Possible with only NN interactions?
  - Take lattices that naturally allow for intracell currents with zero net magnetic field



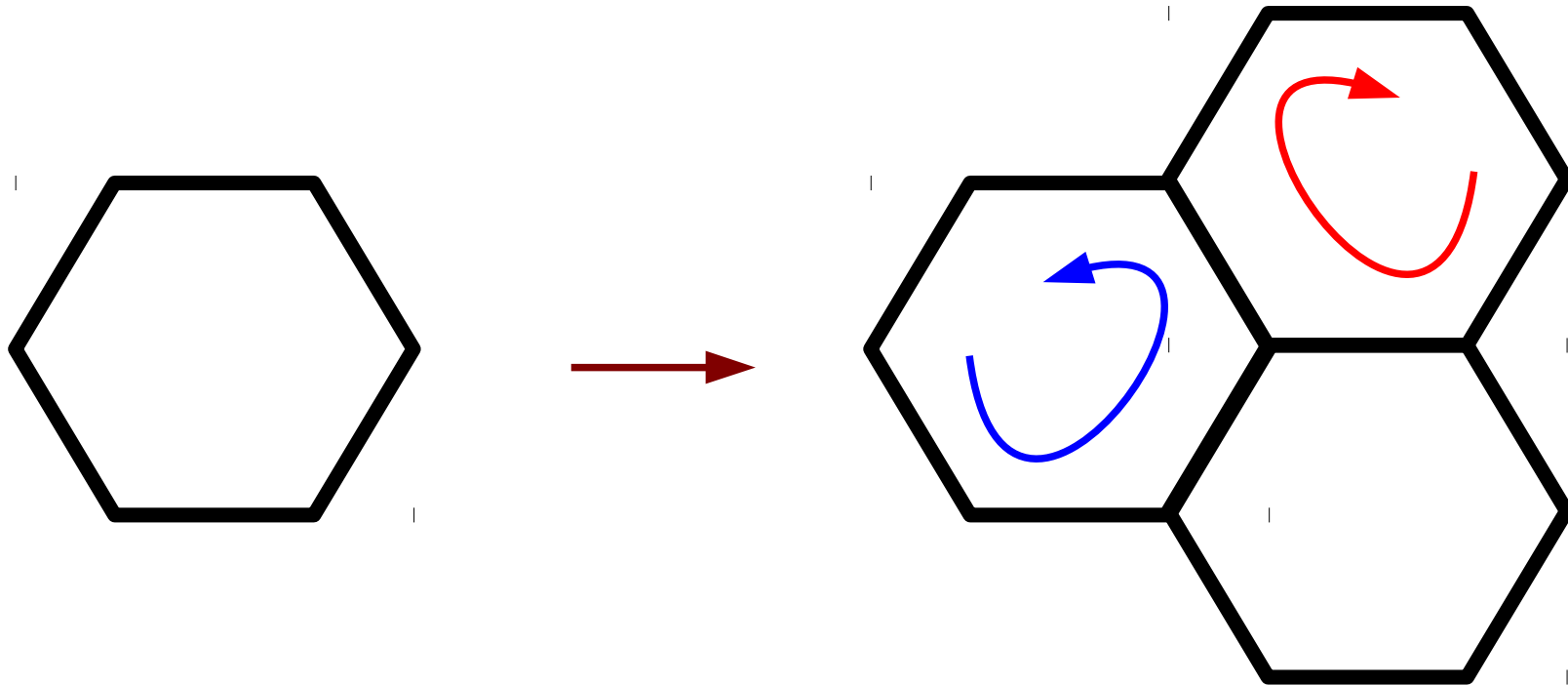
Liu *et al.* PRB, (2010)



Wen *et al.* PRB, (2010)

# Interactions and enlarged unit cells

- *There is yet another possibility*
  - Take your favorite lattice, and just **enlarge the unit cell** to allow for intracell currents with zero net magnetic field

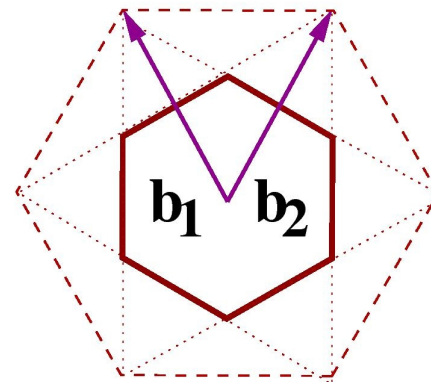
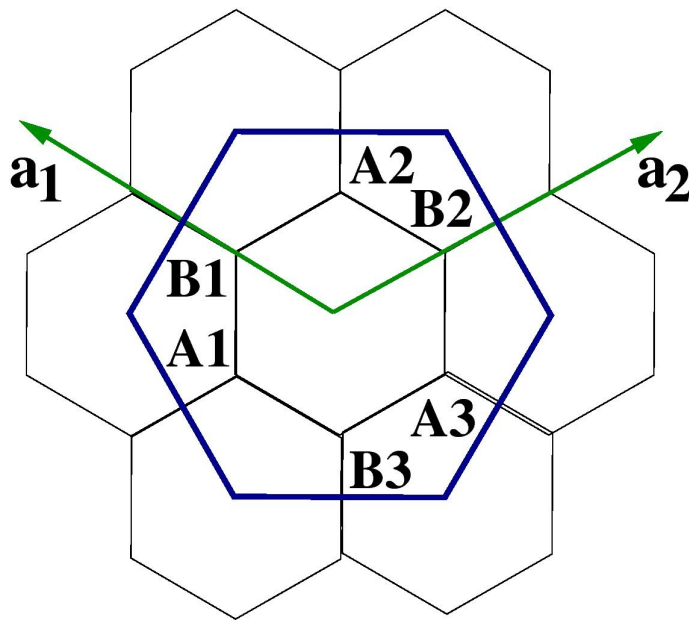


# Case study: the honeycomb lattice

- *Simplest (spinless) Hamiltonian*
  - (For fermions on a lattice with Coulomb interaction)

$$\mathcal{H} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V \sum_{\langle i,j \rangle} n_i n_j$$

- *From 2 to 6 atom unit cell*



# (not dynamical) Mean field

- *Variational mean field method*
  - Bogoliubov – Feynmann – Gibbs inequality

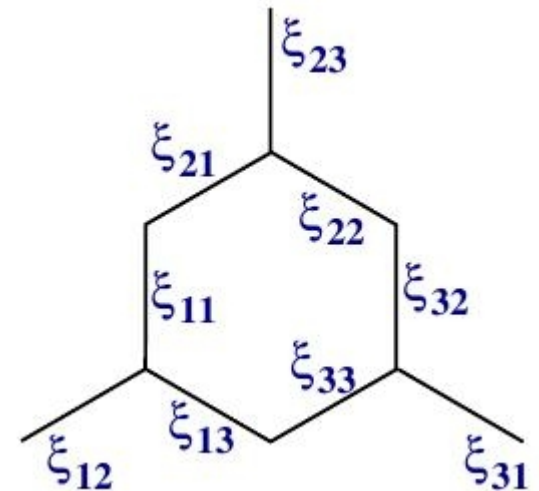
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$$\Omega \leq \Omega_{MF} + \langle \mathcal{H} - \mathcal{H}_{MF} \rangle_{MF}$$

$$\mathcal{H} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V \sum_{\langle i,j \rangle} n_i n_j$$

$$\mathcal{H}_{MF} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V \sum_{\langle i,j \rangle} \xi_{ij} c_i^\dagger c_j$$

- *nine complexed valued order parameters*
- *ignore charge decoupling for the moment*

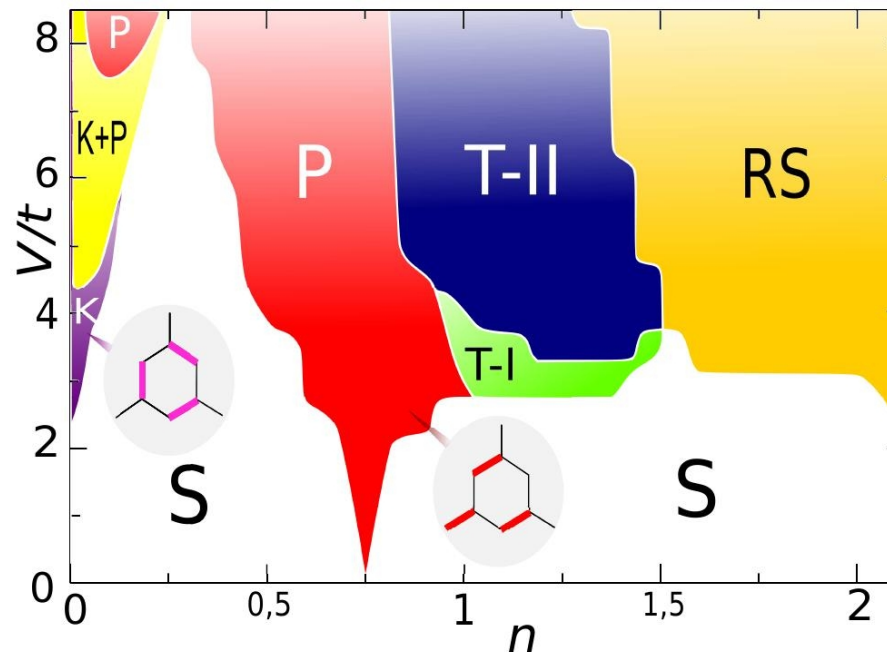


# Phase diagram

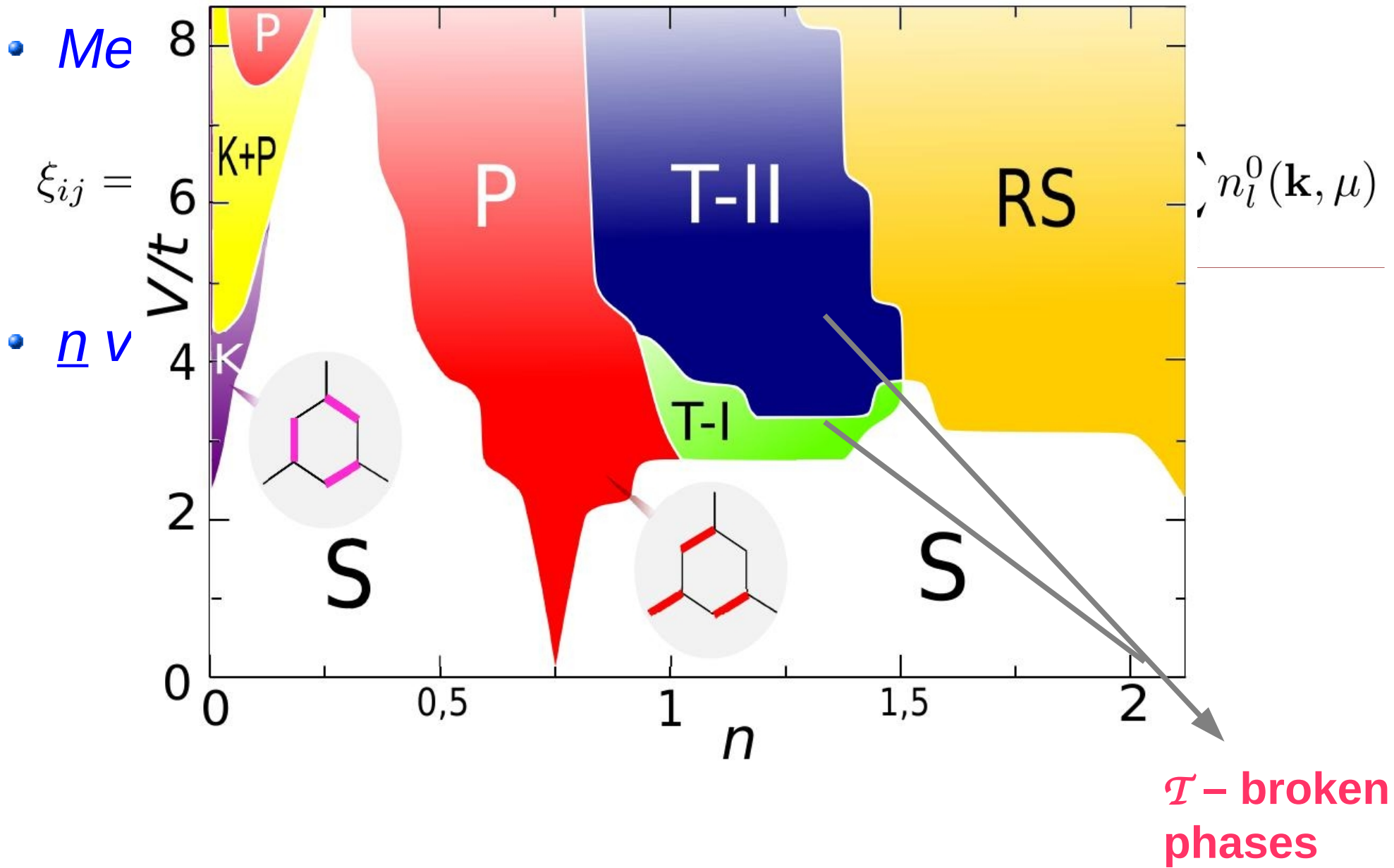
- Mean field equation + Luttinger's theorem

$$\xi_{ij} = -\frac{2}{N} \sum_{\mathbf{k}} \gamma_{\mathbf{k}}^{ij} \langle c_j^\dagger(\mathbf{k}) c_i(\mathbf{k}) \rangle_{MF} \quad \left| \quad \frac{N_e}{N} = 3 + n = \frac{1}{N} \sum_{\mathbf{k}, l} n_l^0(\mathbf{k}, \mu) \right.$$

- n vs V phase diagram:

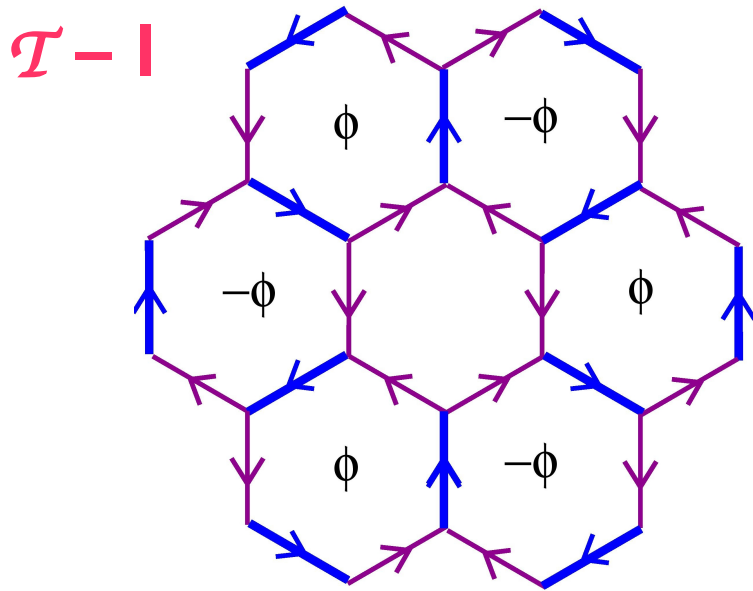


# Phase diagram

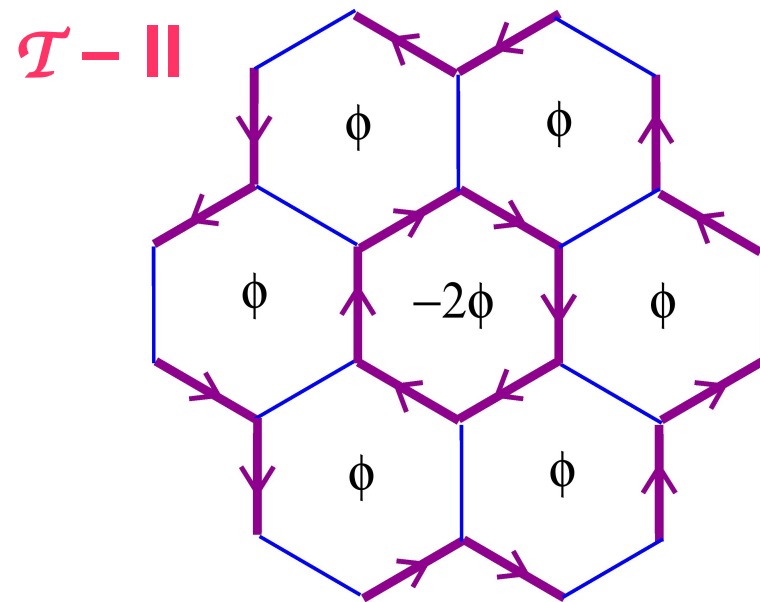


# The $\mathcal{T}$ - broken phases

- Different flux (orbital current) patterns



$\mathcal{T}$     $\mathcal{I}$     $\mathcal{TI}$



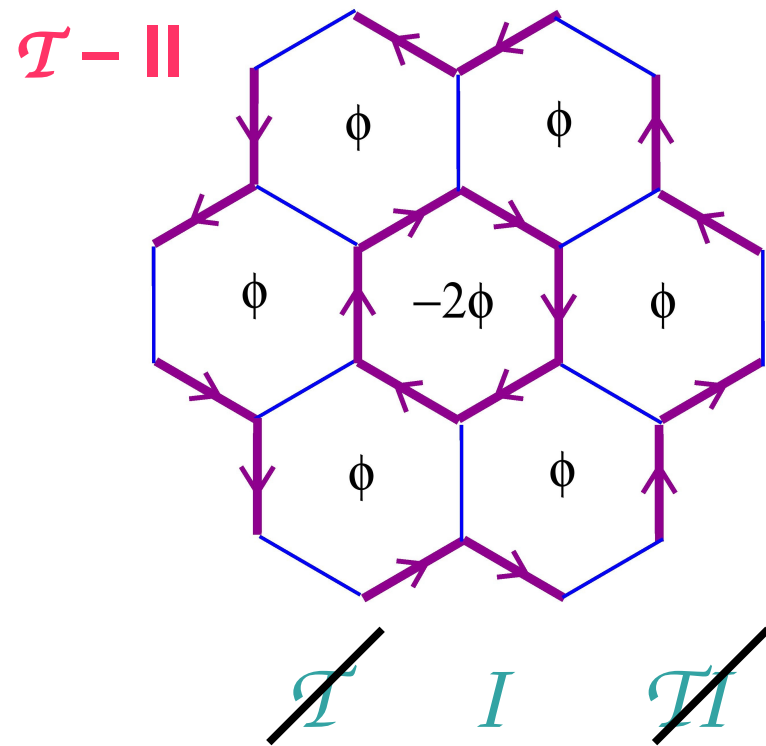
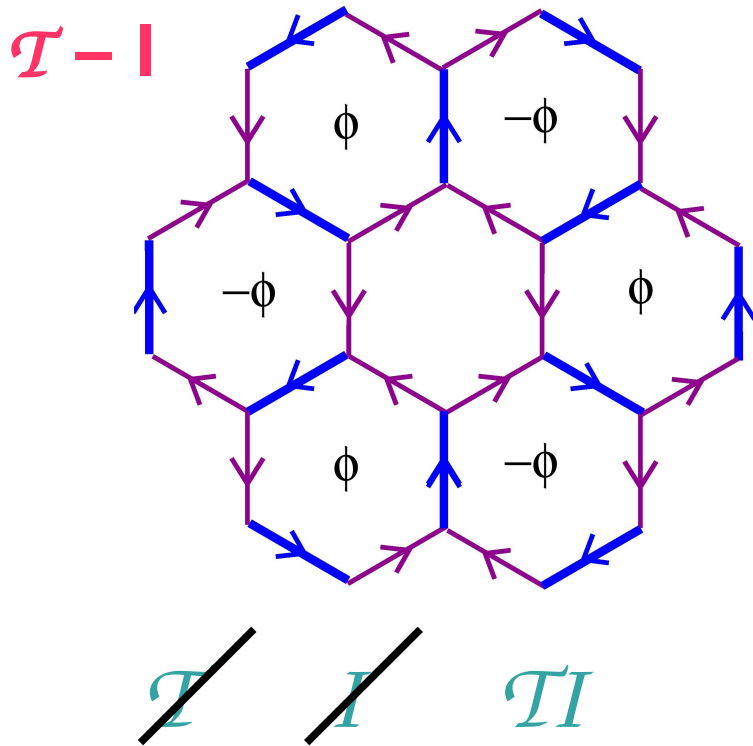
$\mathcal{T}$     $\mathcal{I}$     $\mathcal{TI}$

Symmetry	Berry curvature	$\sigma_{xy}$
$\mathcal{T}$	$\Omega_n(\mathbf{k}) = -\Omega_n(-\mathbf{k})$	0
$\mathcal{I}$	$\Omega_n(\mathbf{k}) = \Omega_n(-\mathbf{k})$	$\neq 0$
$\mathcal{TI}$	$\Omega_n(\mathbf{k}) = -\Omega_n(\mathbf{k}) = 0$	0



# The $\mathcal{T}$ - broken phases

- Different flux (orbital current) patterns



$$\sigma^{xy}(\mu) = 0$$

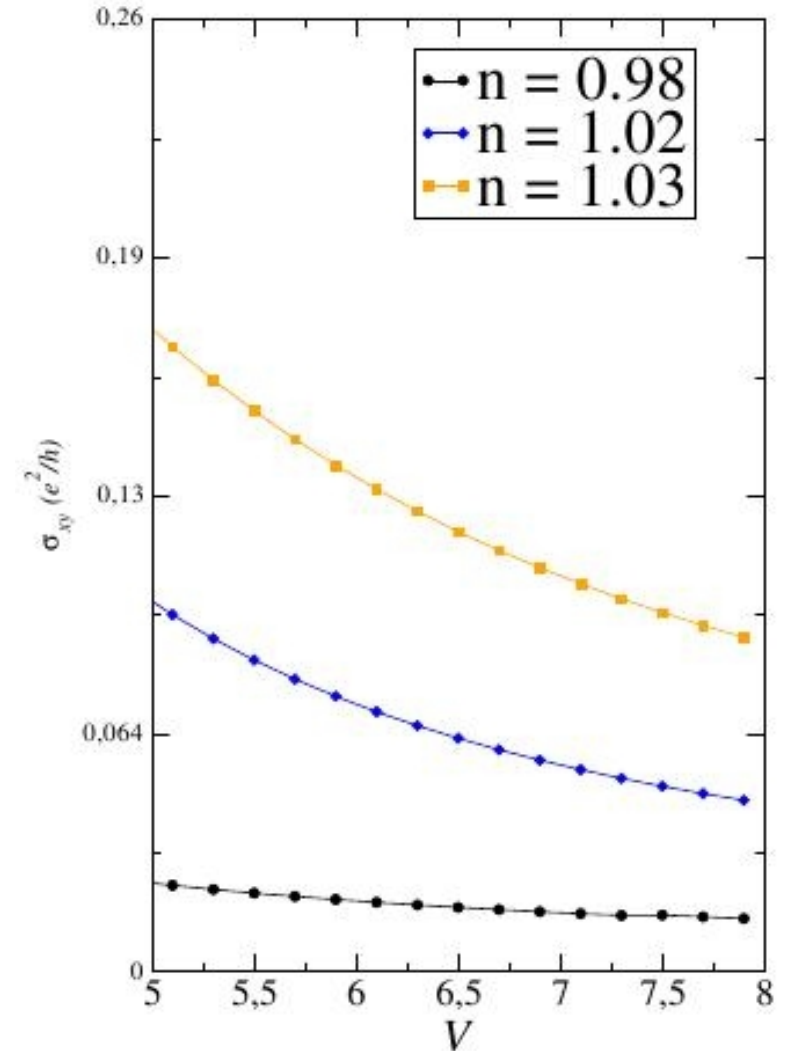
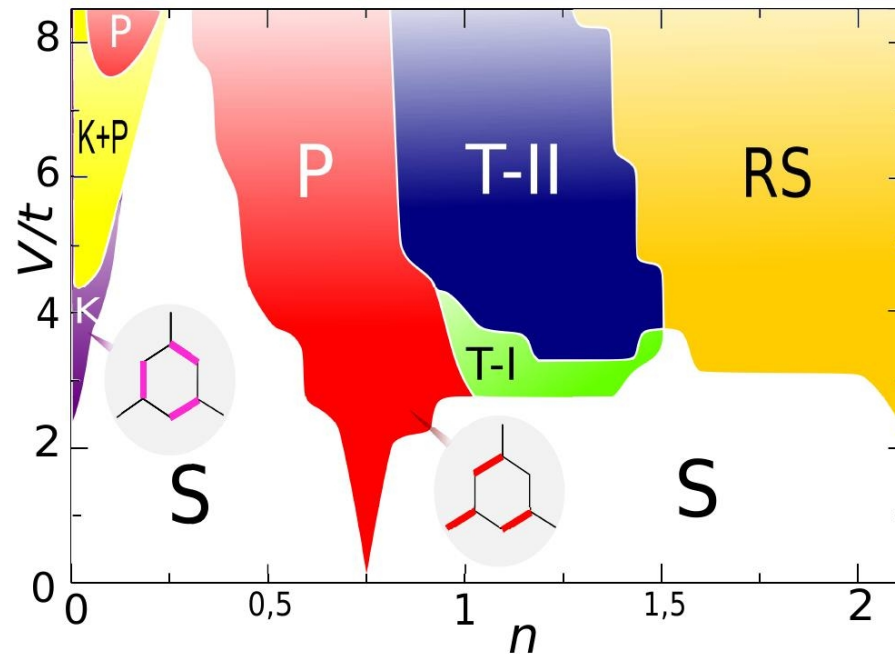
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$\mathcal{TI}$	$\Omega_n(\mathbf{k}) = -\Omega_n(\mathbf{k}) = 0$	0

$$\sigma^{xy}(\mu) \neq 0$$

# The Anomalous Hall phase

- Finite AH conductivity in  $\mathcal{T}-\text{II}$

$$\sigma_0^{ab}(\mu) = \frac{e^2}{\hbar} \frac{1}{\Omega N} \sum_{kn} \mathcal{F}_n^{ab} n_n^0(\mathbf{k}, \mu)$$

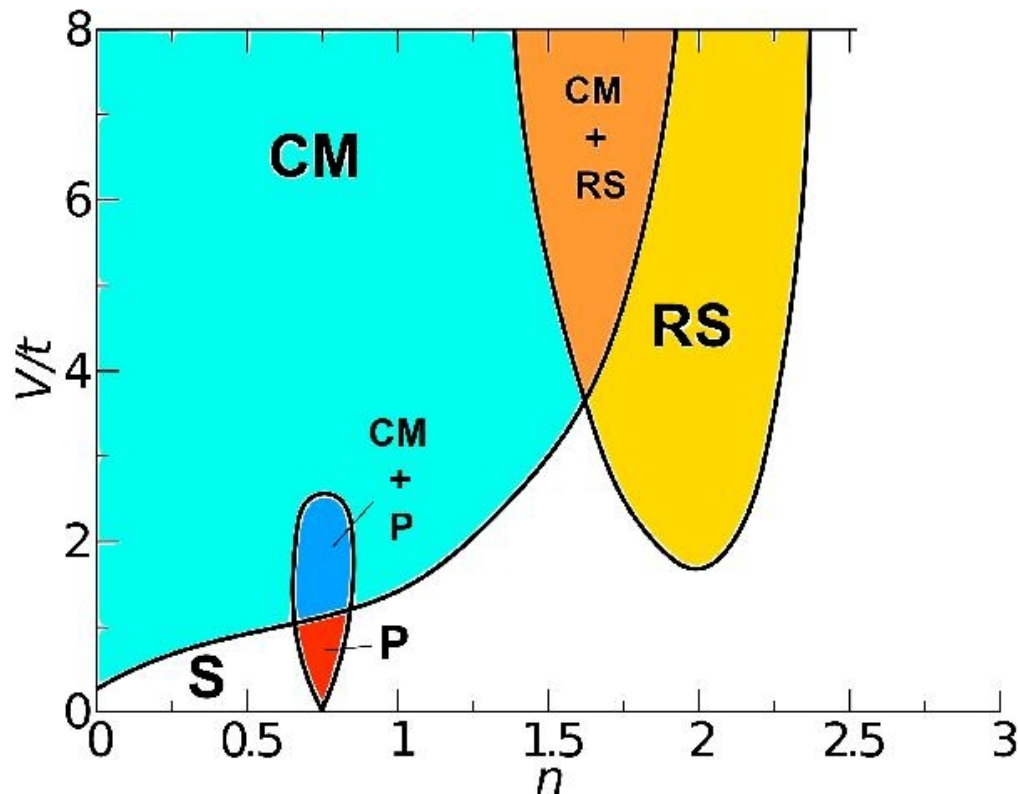


$\mathcal{T}-\text{II}$  is a topological Fermi liquid

# Charge inhomogeneous phases

- *Include charge decoupling*

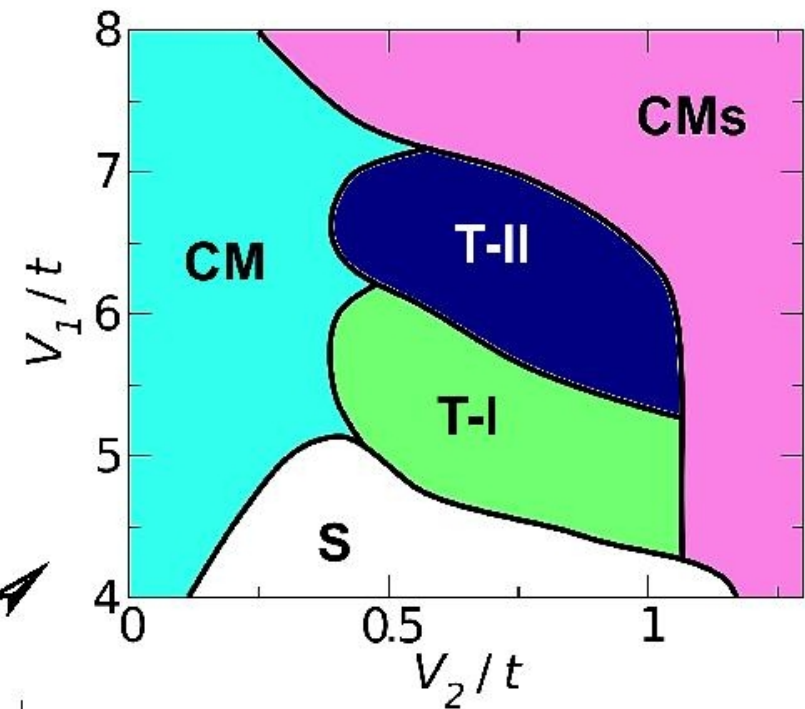
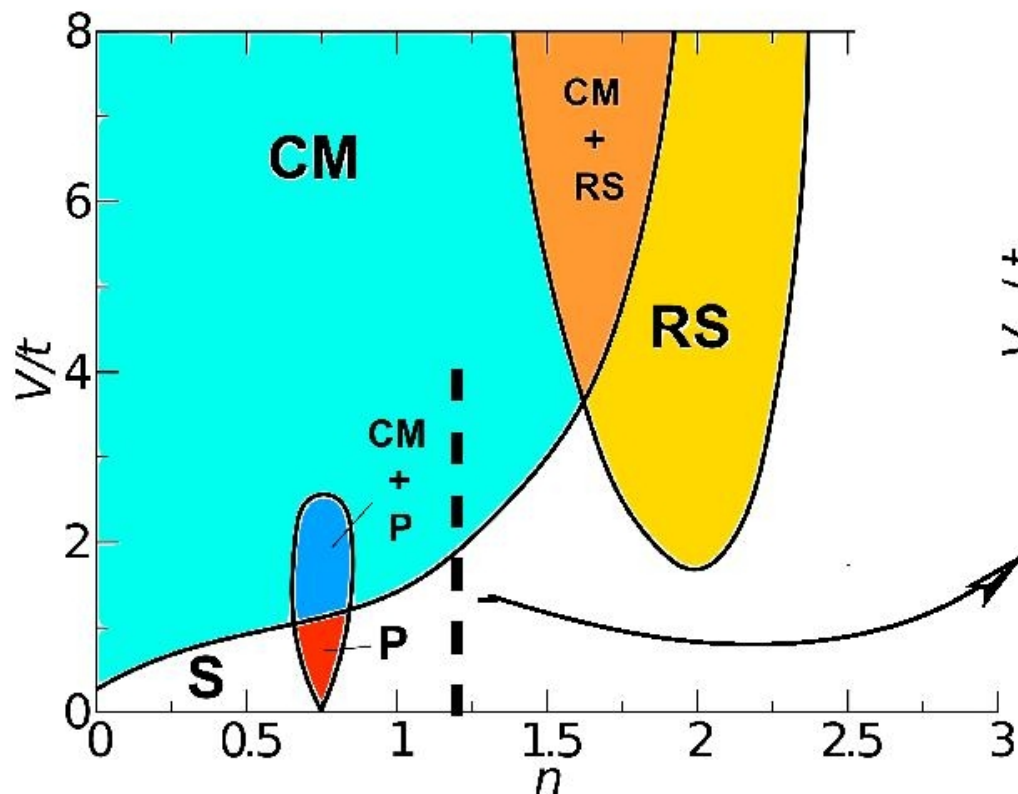
$$\mathcal{H}_{MF} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V \sum_{\langle i,j \rangle} \xi_{ij} c_i^\dagger c_j + V \sum_i \rho_i n_i$$



# Charge inhomogeneous phases

- Include NNN interaction (frustration)

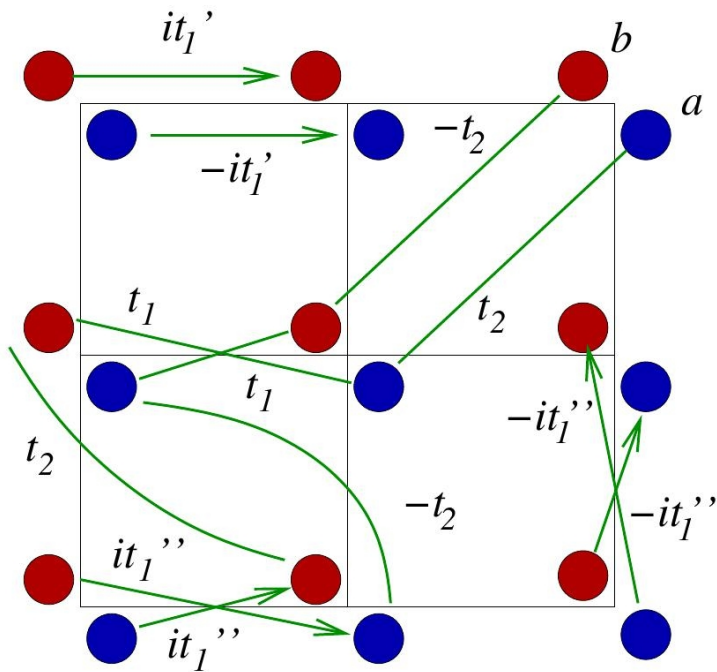
$$\mathcal{H} = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + V_1 \sum_{\langle i,j \rangle} n_i n_j + V_2 \sum_{\langle\langle i,j \rangle\rangle} n_i n_j$$



$V_2 \ll V_1$

# Changing the Chern number through interactions

- Square lattice, two-orbital per site, spinless fermions



$$H_0 = \sum_{\mathbf{k}} c_{\mathbf{k}}^\dagger \boldsymbol{\sigma} \cdot \mathbf{h} c_{\mathbf{k}},$$

$$h_x = \sqrt{2}t_1 (\cos k_x + \cos k_y)$$

$$h_y = \sqrt{2}t_1 (\cos k_x - \cos k_y)$$

$$h_z = 4t_2 \sin k_x \sin k_y + 2t_1' (\sin k_x + \sin k_y) + \delta$$

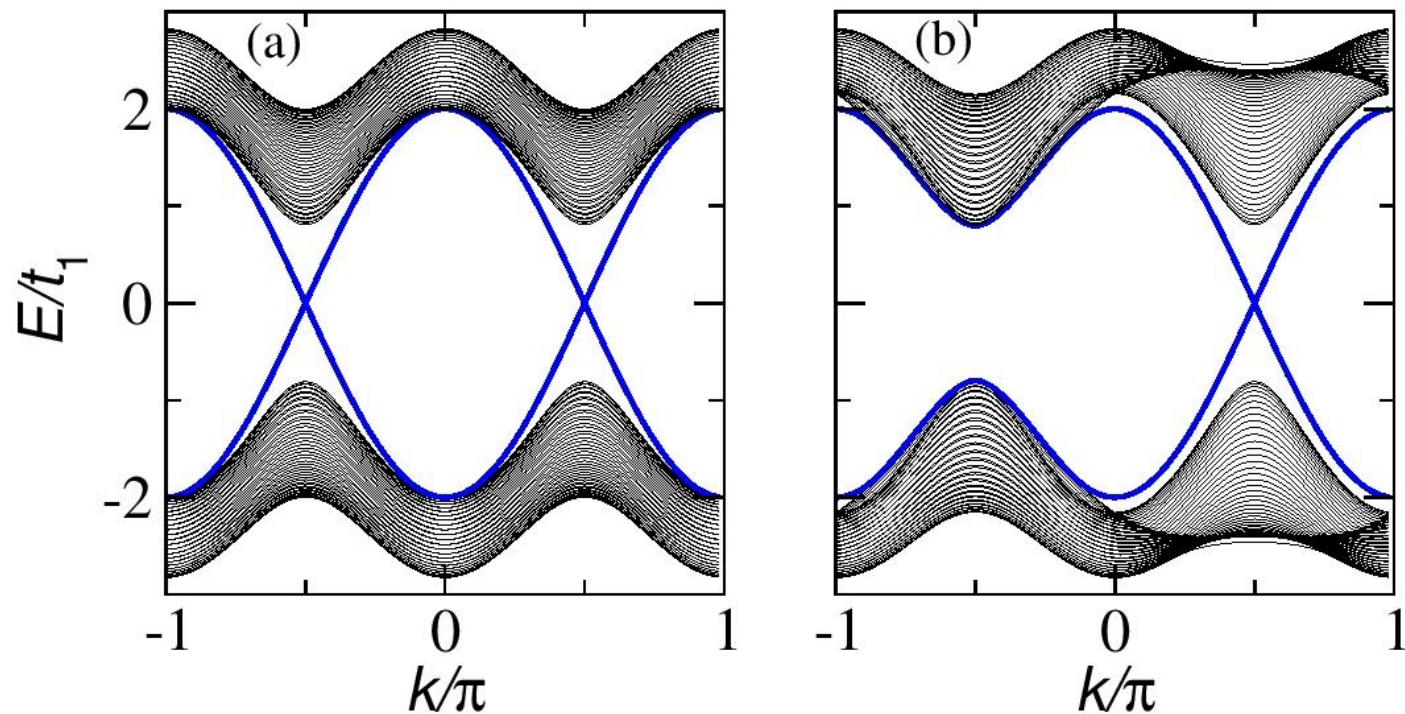
$$t_2 > t_1' - \delta/4 \rightarrow C = 2$$

$$t_2 < t_1' - \delta/4 \rightarrow C = 1$$

ArXiv:1208.1289 (2012)

# Changing the Chern number through interactions

- Square lattice, two-orbital per site, spinless fermions



ArXiv:1208.1289 (2012)

$$t_2 > t'_1 - \delta/4 \rightarrow C = 2$$

$$t_2 < t'_1 - \delta/4 \rightarrow C = 1$$

# Changing the Chern number through interactions


- *Slave rotor approach*

$$\hat{c}_j = \hat{f}_j e^{-i\theta_j} \quad \hat{H}_{int} = \frac{U}{2} \sum_j \hat{L}_j^2$$

- *Mean field decoupling*

$$H(\bar{f}X, fX^*) = H_f(\bar{f}, f) + H_X(X, X^*)$$

$$e^{i\theta_j} = X_j$$



$$\mathbf{h}_{ij} \rightarrow \mathbf{h}_{ij} \langle X_i X_j^* \rangle$$

- NNN X-boson correlation functions decays faster:

$$\bar{U} \approx 1.4 \quad \begin{cases} U < \bar{U} & C = 2 \\ U > \bar{U} & C = 1 \end{cases}$$

$$\delta = 0, t_1 = 1, t_2 = 0.7, t'_1 = 0.8t_2$$

$$U_c \approx 2.9$$

# Conclusions

- *Topologically non-trivial phases via electronic (Coulomb) correlations are possible*
- *Relaxing translational symmetry by enlarging the unit cell enables for  $T$  – broken states*
  - Topologically non-trivial AH phases may be stabilized even in simple lattices
- *Case study: honeycomb lattice*
  - Topological Fermi liquid is dominant  $T$  – broken state
- *Possible to change Chern number through interactions*