

# Workshop on Correlations, criticality, and coherence in quantum systems: AdS/CFT DUALITY

## I. THE QCD STRING

A. QCD

B. STRINGS

## II. THE ADS/CFT DUALITY

A.  $\mathcal{N}=4$  SYM

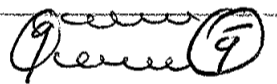
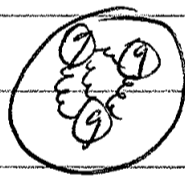
B.  $AdS_5 \times S^5$

C. ADS/CFT CONJECTURE

## I. THE QCD STRING

### A. QCD

(i) Strong interactions described by non-Abelian gauge theory. Hadrons (baryons and mesons) are made of quarks, which are held together by exchange of gluons.



Lagrangian is ( $m_q = 0$ )

$$\frac{1}{g_{YM}^2} \int d^4x \left( -\frac{1}{2} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) + i \bar{\Psi}_a \not{D}_{ab} \Psi_b \right)$$

where  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i [A_\mu, A_\nu]$

• Gluon field is matrix valued,  $A_\mu = A_\mu^A T^A$ ,  $A=1, \dots, N^2-1$   
(adjoint repr of  $SU(N)$ )

$\hookrightarrow$  generators of  $SU(N)$

$$[T^A, T^B] = i f^{ABC} T^C$$

$$\text{Tr}(T^A T^B) = \delta^{AB}/2$$

QCD  
 $N=3$

• Quarks in fundamental of  $SU(N)$ ,  $\Psi_a$ ,  $a=1, \dots, N \rightarrow SU(N)$  generators in fundamental repr. (Gell-Mann matrices)

(ii) Theory invariant under local gauge symmetry that generalizes the standard  $U(1)$  gauge symmetry of EMS

EMS:  $\psi \rightarrow e^{i\theta(x)} \psi$  leaves action invariant

$$A_\mu \rightarrow A_\mu + i \partial_\mu \theta(x)$$

$SU(N)$ :  $\psi_a \rightarrow e^{i t_{ab}^c \theta^c(x)} \psi_b \equiv \Omega_{ab}(x) \psi_b$

$$A_\mu \rightarrow \Omega(x) A_\mu \Omega^{-1}(x) + i (\partial_\mu \Omega(x)) \Omega^{-1}(x)$$

(iii) QFT ~~theories~~ and experiment tells us important dynamical information

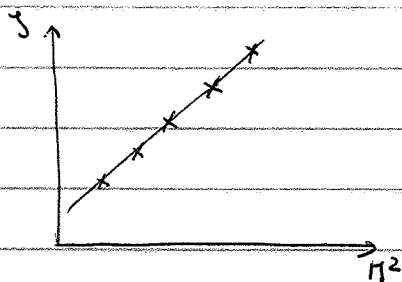
- Coupling constant runs with  $\beta < 0$  (theory weakly coupled at high energies allowing for spectacular experimental tests at accelerators)

- At lower energies  $\sim 1 \text{ GeV}$  theory is strongly coupled. This is a big difficulty because standard diagrammatic Feynman techniques are helpless. We can't even predict the hadron mass spectrum!

- Confinement: we only see colour singlet bound states.

## TWO IMPORTANT FACTS

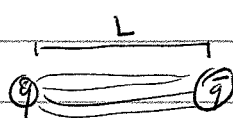
FACT 1 Meson spectrum aligned on Regge trajectories



$$J = d(0) + d' M^2, \quad d' \sim 1 \text{ GeV}^{-2}$$

$$[d'] = \frac{E \cdot L}{E^2} = \frac{L}{E} \quad \text{inverse of tension}$$

- Is QCD a string theory?



Flux lines of gluon field forming a string  $V \sim \frac{L}{d'}$

FACT 2 Large  $N$  expansion ('t Hooft)

For large  $N$  theory simplifies keeping important dynamical features (then consider  $\frac{1}{N}$  expansion)

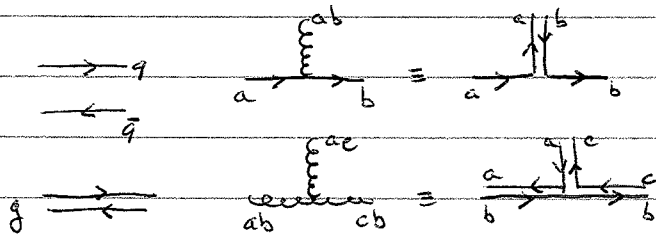
$A = N^2 - 1$  gluons

$\psi_a = N$  colours ( $\times N_f$  from flavour)

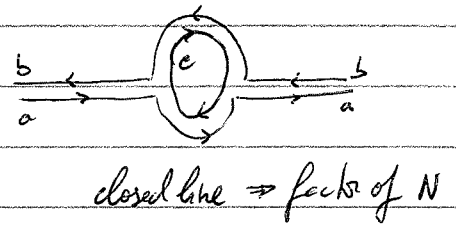
$N \rightarrow \infty$  expects that gluons dominate

For example mass renormalization still present for  $N \rightarrow \infty$

- Double line notation



Previous mass renorm.



- Important fact is that Feynman diagrams organize in a double series expansion of  $\frac{1}{N}$  and  $\lambda$ . Consider gluon vacuum diagrams

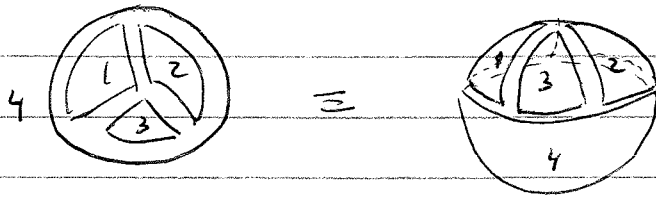
$\sim N^2$

$\sim (g^2_{YM})^3 \left(\frac{1}{g^2_{YM}}\right)^2 N^3 = g^2_{YM} N^3 = N^2 \lambda$

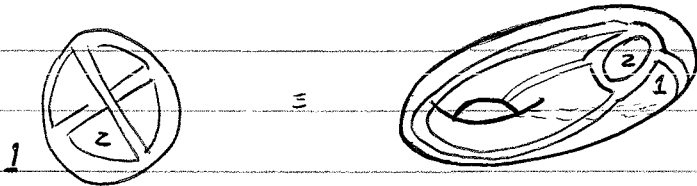
$\sim (g^2_{YM})^6 \left(\frac{1}{g^2_{YM}}\right)^4 N^4 = g^4_{YM} N^4 = N^2 \lambda^2$

$\sim (g^2_{YM})^6 \left(\frac{1}{g^2_{YM}}\right)^4 N^2 = g^4_{YM} N^2 = N^2 \frac{\lambda^2}{N^2}$

Each diagram can be associated to a Riemann surface, with each line a boundary of a face and then gluing faces



Sphere Euler number  $\chi = 2$   
 $\chi = 2 - 2g$  ( $g = 0$  is genus)  
 $\#$  handles



Torus  $\chi = 0$  ( $g = 1$ )

# faces  $F$   
 # edges  $E$   
 # vertices  $V$

$$N^F (g_{\text{YM}}^2)^{E-V} = (N g_{\text{YM}}^2)^F (g_{\text{YM}}^2)^{E-V-F} = \lambda^F (g_{\text{YM}}^2)^{-\chi}$$

$$= \lambda^{F-\chi} \left(\frac{\lambda}{N}\right)^{-\chi}$$

Expansion takes form

$$\sum_{g=0}^{\infty} N^{\chi} \sum_{n=0}^{\infty} c_{g,n} \lambda^n, \quad \chi = 2 - 2g$$

$$n = F - \chi$$

This fact is important because this expansion looks like a string theory loop expansion with  $g_s \sim \frac{1}{N}$

## B. STRINGS

(i) Classical action of a string moving in arbitrary curved space is (Polyakov)

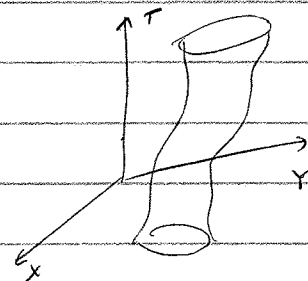
$$S = -\frac{1}{4\pi\alpha'} \int d\tau d\sigma \sqrt{-\delta} \gamma^{ab} \partial_a X^\mu \partial_b X^\nu g_{\mu\nu}(X)$$

Tension  $= \frac{1}{2\pi\alpha'}$   $[\alpha'] = \frac{L}{E} = L^2$

World-sheet coordinates  $\sigma^a = (\tau, \sigma)$

World-sheet fields  $X^\mu = X^\mu(\tau, \sigma)$

$$\gamma_{ab} = \gamma_{ab}(\tau, \sigma)$$



This is a two-dimensional field theory

Varying w.r.t metric  $\delta_{ab}$  gives constraint

$$T_{ab} = -\frac{4\pi\alpha' \perp}{\sqrt{-g}} \frac{\delta S}{\delta g^{ab}} = \partial_a X^\mu \partial_b X^\nu g_{\mu\nu} - \frac{1}{2} \delta_{ab} \delta^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X^\nu g_{\mu\nu} = 0$$

and w.r.t.  $x$ 's the eqns of motion

$$\partial_a \left( \sqrt{-g} \delta^{ab} \partial_b X^\nu g_{\mu\nu}(x) \right) - \frac{\sqrt{-g}}{2} \delta^{ab} \partial_a X^\alpha \partial_b X^\beta \partial_\mu g_{\alpha\beta}(x) = 0$$

(ii) To find solutions it is convenient to work in Conformal gauge. Using 2D reparametrization invariance 2D metric can always be written as

$$\delta_{ab} = e^\varphi \eta_{ab} \quad \text{with} \quad \eta_{ab} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

Action becomes

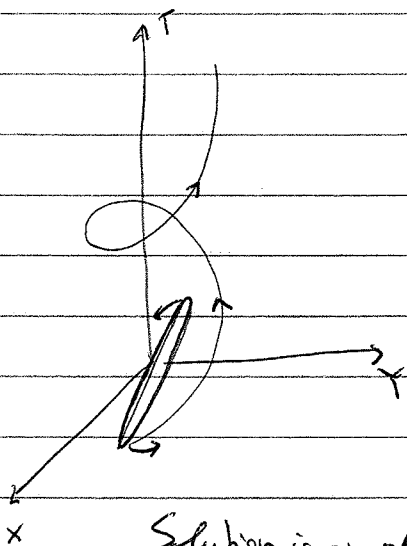
$$S = -\frac{1}{4\pi\alpha'} \int dt d\sigma \eta^{ab} \partial_a X^\mu \partial_b X^\nu g_{\mu\nu}(x)$$

Eqs become:  $T_{ab} = 0 \Rightarrow (\dot{X} \pm X')^\mu (\dot{X} \pm X')^\nu g_{\mu\nu} = 0 \Leftrightarrow (\dot{X} \pm X')^2 = 0$   
 $\Rightarrow \dot{\tau} = \pm \dot{\sigma}$

$$\partial_a (\eta^{ab} \partial_b X^\nu g_{\mu\nu}) - \frac{1}{2} \eta^{ab} \partial_a X^\alpha \partial_b X^\beta \partial_\mu g_{\alpha\beta} = 0$$

TWO IMPORTANT FACTS (to be compared with those of previous section)

### FACT 1. RIGID ROTATING STRING



$$ds^2 = -dT^2 + dp^2 + \rho^2 d\phi^2 + dz^2$$

$$T = \tau$$

$$\phi = \omega \tau$$

$$\rho = \rho(\sigma)$$

$$(\dot{X} \pm X')^2 = 0 \Rightarrow (\rho')^2 = (1 - \omega^2 \rho^2) \Rightarrow d\sigma = \frac{d\rho}{\sqrt{1 - (\omega\rho)^2}}$$

Solution is simple:  $\rho = \frac{\sin(\omega\sigma)}{\omega}$ . Single folded string  $\Rightarrow \sigma \in [0, \frac{2\pi}{\omega}]$ .  
 Maximum  $\rho$  is  $\rho_0 = \frac{1}{\omega}$

[check that  $\rho$  equation of motion is  $\rho'' + \omega^2 \rho = 0$ ]

In flat space  $g_{\mu\nu} = \eta_{\mu\nu}$ , string action is invariant under the global Poincaré transformations

$$X^\mu \rightarrow \Lambda^\mu_\nu X^\nu + a^\mu$$

$$\delta_{ab} \rightarrow \delta_{ab}$$

Under infinitesimal translations  $X^\mu \rightarrow X^\mu + \epsilon^\mu$  we have  $\delta L_0 = 0$ . So Noether theorem says there is a conserved current (~~string momentum~~)

$$P_\mu^a \epsilon^\mu = - \frac{\delta L_0}{\delta(\partial_a X^\mu)} \epsilon^\mu \Rightarrow P_a^\mu = \frac{1}{2\pi\alpha'} \partial_a X^\mu$$

Conserved charge is the string space-time 4-momentum

$$P^\mu = \int d\sigma P_\tau^\mu = \frac{1}{2\pi\alpha'} \int d\sigma \partial_\tau X^\mu$$

Similarly, for infinitesimal Lorentz transformation  $X^\mu \rightarrow \omega^\mu_\nu X^\nu$  with  $\omega_{\mu\nu} = -\omega_{\nu\mu}$  we have current

$$J_{\mu\nu}^a = \frac{\delta L_0}{\delta(\partial_a X^\mu)} \omega^\mu_\nu X^\nu \Rightarrow J_a^{\mu\nu} = \frac{1}{2\pi\alpha'} (X^\mu \partial_a X^\nu - X^\nu \partial_a X^\mu)$$

Conserved charge is the relativistic angular momentum

$$J^{\mu\nu} = \int d\sigma J_\tau^{\mu\nu}$$

Now look at previous spinning string

$$P^0 = E = \frac{1}{2\pi\alpha'} \frac{2\pi}{\omega} = \frac{1}{\alpha' \omega}$$

$$\begin{cases} x = \frac{\sin(\omega\tau)}{\omega} \cos(\omega\sigma) \\ y = \frac{\sin(\omega\tau)}{\omega} \sin(\omega\sigma) \end{cases}$$

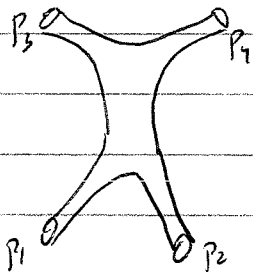
$$\sin^2(\omega\sigma) = \frac{1 - \cos(2\omega\sigma)}{2}$$

$$J^{xy} = J = \frac{1}{2\pi\alpha'} \int d\sigma \frac{\sin^2(\omega\sigma)}{\omega^2} \omega (\underbrace{\cos^2(\omega\tau) + \sin^2(\omega\tau)}_1) = \frac{1}{2\pi\alpha'} \frac{1}{\omega} \frac{1}{2} \frac{2\pi}{\omega} = \frac{1}{2\alpha' \omega^2}$$

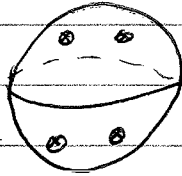
This is the same Regge behaviour observed in QCD!  $J = \frac{\alpha'}{2} E^2$

## FACT 2

In string theory interactions are defined in terms of Feynman diagrams. For example 2-2 scattering

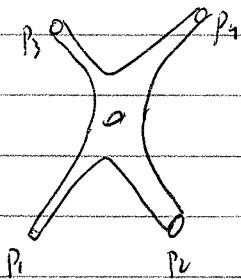


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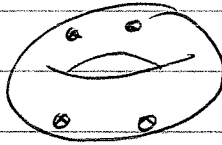


$$\chi = 2 - 2g - h$$

$$\chi = -2$$



=



$$\chi = -4$$

$$S(p_1, p_2, p_3, p_4) = \sum_{\text{topologies}} g_s^{-\chi} \int \mathcal{D}X \mathcal{D}\sigma \exp(-S[X, \sigma]) V_1 \dots V_n$$

This is similar to the large  $N$  expansion  $g_s \sim \frac{1}{N}$

CONCLUSION: Both facts 1 and facts 2 call for a string description of QCD

ADS/CFT IS A PRECISE FORMULATION OF  
THESE OLD IDEAS

## II. THE ADS/CFT DUALITY

### A. $\mathcal{N}=4$ SYM

(i) We shall consider a particular  $SU(N)$  gauge theory with all fields in the adjoint

$$A_\mu = A_\mu^A T^A, \quad \phi_i = \phi_i^A T^A \quad (i=1, \dots, 6), \quad \lambda_I = \lambda_I^A T^A \quad (I=1, \dots, 4)$$

Bohmian ~~the~~ action is

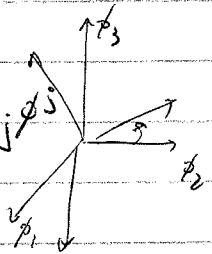
$$\frac{1}{g_{YM}^2} \int d^4x \text{Tr} \left( -\frac{1}{2} F_{\mu\nu} F^{\mu\nu} - \sum_\mu \phi_i D^\mu \phi^i + \frac{1}{2} [\phi_i, \phi_j] [\phi^i, \phi^j] \right)$$

with  $D_\mu = \partial_\mu - i[A_\mu, \ ]$  and  $\phi^i = \delta^{ij} \phi_j$ .

$$\begin{aligned} Z(x) &= \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2) \\ \text{2-pt function is} \\ \langle Z^A(x) \bar{Z}^B(y) \rangle &= \frac{g_{YM}^2}{4\pi^2} \frac{\delta^{AB}}{(x-y)^2} \end{aligned}$$

(ii) This theory has a lot of global symmetries

- Can rotate the 6 scalars with  $SO(6)$  transformations  $\phi^i = R^i_j \phi^j$  (those that leave  $\delta_{ij}$  invariant). Gauge field  $A_\mu$  is singlet



group  $SO(2,4)$  {

- Lorentz transformations  $x^\mu \rightarrow \Lambda^\mu_\nu x^\nu$  (the  $SO(1,3)$  group)  
Gauge field  $A_\mu$  is a vector and  $\phi_i$  singlet.
- Translations  $x^\mu \rightarrow x^\mu + a^\mu$
- Conformal transformations  $x^\mu \rightarrow \lambda x^\mu$   
 $x^\mu \rightarrow \frac{x^\mu a^2 - a^\mu x^2}{(a-x)^2}$  (special conformal)

This  $SO(2,4) \times SO(6)$  symmetry also holds at quantum level, i.e. it is exact (fermions & SUSY)



iii) Conformal symmetry  $X^m \rightarrow \lambda X^m$  means theory has no preferred scale. How can we characterize the spectrum?

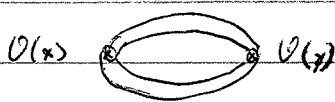
Generally for a field or composite field operator

$$\psi(\lambda x) = \lambda^{-\Delta} \psi(x), \quad \Delta \text{ is the (mass) dimension}$$

(For example  $[A_\mu] = [\psi_i] = L^{-1} = M^1$ )

Consider 2-pt function of single trace operator  $\mathcal{O}(x) = \text{tr}(Z^2(x))$ ,  $Z(x) = \frac{1}{\sqrt{2}}(\phi(x) + i\psi(x))$   
 ( $\mathcal{O}$  is analogue of masson operator, but with scalar fermions in adjoint)

Can compute  $\langle \mathcal{O}(x) \mathcal{O}^\dagger(y) \rangle = \langle \text{tr}(Z(x) \bar{Z}(x)) \text{tr}(\bar{Z}(y) Z(y)) \rangle$   
 from Wick contractions



$$= \left( \frac{g^2 N}{4\pi^2} \right)^2 \frac{N^2 - 1}{(x-y)^{2\Delta}}, \quad \Delta \text{ is dimension}$$

in this case  $\Delta = 2$

(From Lorentz invariance, translation inv. and scaling property cannot write anything else)

Just like in conventional QFT poles in 2-point function give information about spectrum, in CFT we can read from 2-point function the dimension of field operators. The quantum corrections give then the anomalous dimension



$$\langle \mathcal{O}(x) \mathcal{O}(y) \rangle \sim \frac{1}{(x-y)^{2(\Delta+\delta)}}$$

$\downarrow$  quantum piece  
 (just like mass renormalization in QFT)

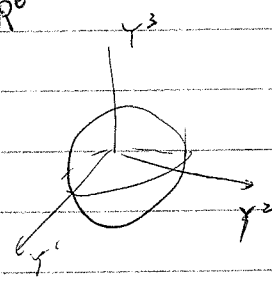
## B. $AdS_5 \times S^5$

(i) A 5-sphere  $S^5$  can be constructed from its embedding space  $\mathbb{R}^6$

$$Y^2 = (Y_1)^2 + (Y_2)^2 + \dots + (Y_6)^2 = R^2$$

This is a constant curvature space with  $SO(6)$  rotational symmetry

inherited from rotations in embedding space, with generators  $J_{ij} = Y_i \frac{\partial}{\partial Y_j} - Y_j \frac{\partial}{\partial Y_i}$ .

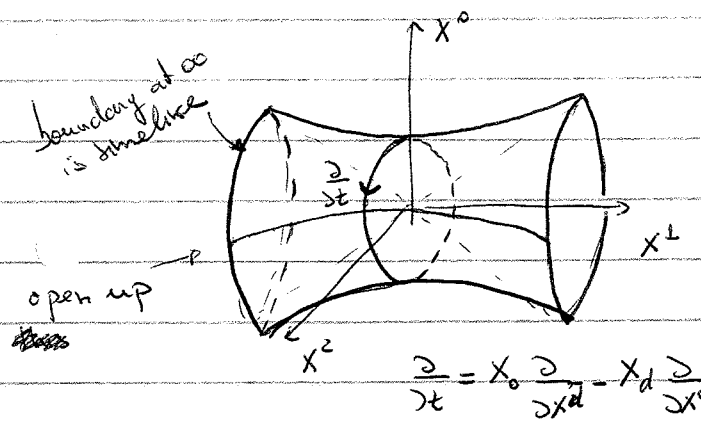


(ii) The  $AdS_d$  space is defined as the surface in  $\mathbb{R}^{2, d-1}$  ( $d=5$ )

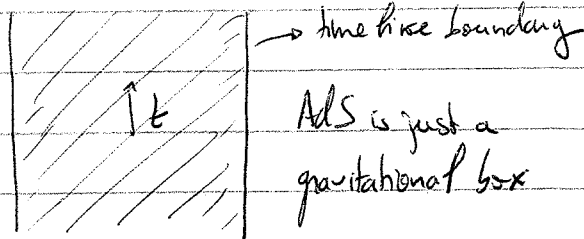
$$X^2 = -(X^0)^2 + (X^1)^2 + \dots + (X^{d-1})^2 - (X^d)^2 = -R^2 \quad (1)$$

This pseudo-sphere preserves  $SO(2, d-1)$  Lorentz symmetry of embedding space with generators  $J_{AB} = X_A \frac{\partial}{\partial X^B} - X_B \frac{\partial}{\partial X^A}$ .

$$AdS_2 : X^2 = -(X^0)^2 + (X^1)^2 - (X^2)^2$$



$AdS$  is obtained by decompactifying this closed time-like circle.



Now construct the  $AdS$  metric. Choose the following parametrization of the surface (1)

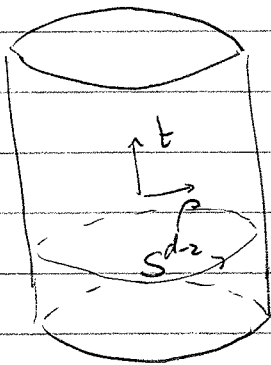
$$X^0 = R \cosh \rho \cos \tau$$

$$X^d = R \cosh \rho \sin \tau$$

$$X^i = R \sinh \rho \hat{n}^i, \text{ with } \hat{n} \text{ the unit vector on } S^{d-2}$$

Metric is just

$$ds^2 = dx^A dx_A = R^2 \left( -ch^2 \rho dt^2 + d\rho^2 + sh^2 \rho d\Omega_{d-2} \right)$$



- AdS is like a cylinder. Boundary at  $\rho \rightarrow \infty$  is conformal to  $\mathbb{R} \times S^{d-2}$

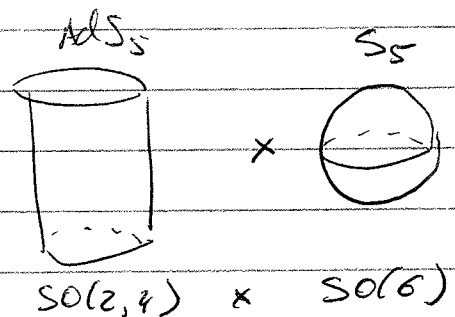
$$ds^2 \xrightarrow{\rho \rightarrow \infty} \left( \frac{R e^\rho}{2} \right)^2 \left( -dt^2 + d\Omega_{d-2} \right)$$

- This geometry solves Einstein gravity with negative  $\Lambda$

$$\int d^d x \sqrt{-g} (R - \Lambda)$$

### C. AdS/CFT CONJECTURE

The space  $AdS_5 \times S^5$  of radius  $R$  has the same global symmetries as  $\mathcal{N}=4$  SYM



$STRING THEORY ON AdS_5 \times S^5 \equiv \mathcal{N}=4 SYM$

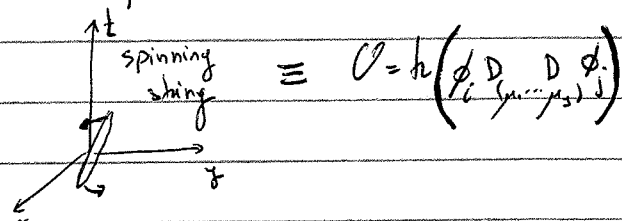
(space-time isometries)  $SO(2,4) \times SO(6)$  (conformal sym. & R-sym.)

( $g_s \rightarrow 0$  free strings)  $g_s = \frac{R^4}{4\pi \alpha'^2} \frac{1}{N}$  ( $N \rightarrow \infty$  is planar theory)

( $\lambda \gg 1$  classical strings corresponds to strong coupling SYM)  $\lambda = \frac{R^4}{\alpha'^2} = g_{YM}^2 N$  ( $\lambda \ll 1$  is perturbative SYM corresponds to highly quantum strings)

Single string state  $\equiv$  single trace operators

Examples:  $g_{\mu\nu} \equiv T_{\mu\nu} = \text{Tr} (F_{\mu\nu} F_{\nu\mu} + \dots)$   
 $\phi \equiv \mathcal{L}_0 = \text{Tr} (F^2 + \dots)$



(energy of string state)  $E = \Delta$  (dimension of dual operator)